
LC HEALTH CARE FUND II, L.P.

Reporting Period: January 1, 2021-December 31, 2021
Report Completion: March 2022

ANNUAL ENVIRONMENTAL AND SOCIAL PERFORMANCE MONITORING REPORT

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I. ESPR Preparer

Report prepared by: Li Yong, ESMS manager of LC Health Care Fund II.

E-mail: liyong@legendcapital.com.cn

Signature:

Report Date: January 2022

II. Organizational Structure and Implementation of Environmental and Social Management Systems

On December 9, 2020, Vice President Mr. Chen Hao officially issued the environmental and social management system of LC Health Care Fund II (hereafter "LC HC II"). During the reporting period, the environmental and social management system was not updated.

The organizational structure and responsibilities are elaborated in the Table 1. This ESMS arrangement functioned well and no changes as end of 2021.

Table 1 ESMS Implementation Arrangement

Role	Composition and Responsibilities
ESG Committee	<p>The highest decision-making body for ESG management, chaired by the Firm's President.</p> <p>Committee Members: Chief Investment Officer, Chief Operating Officer, Managing Directors & heads of the fund teams, General Legal Counsel, and Managing Director in charge of the management consultant tea.</p> <p>Responsibilities of ESG Committee:</p> <ul style="list-style-type: none"> - Formulate medium and long-term strategy plans for ESG investing. - Approve the polices, systems and procedures with regards to ESG investing. - Guide and supervise the work of ESG Working Group.
Investment Committee ("IC") of LC HC II:	<p>The IC of LC HC II is composed for the firm's President and the Managing Directors of LC HC II. The IC will be responsible for reviewing the screening and due diligence results in relation to E&S.</p>
ESG Working Group	<p>Execution body for ESG management, led by Managing Director in charge of management consultant team.</p> <p>Group Leader: Managing Director in charge of the management consultant team.</p> <p>Group Members: ESG coordinators from the fund teams, IR team, legal team, management consultant and financial consultant teams</p>

	<p>Responsibilities of ESG Working Group</p> <ul style="list-style-type: none"> - Provide decision-making support for the medium and long-term strategy plans in ESG investing management - Provide specialized support to formulate ESG-related policies, systems and procedures - Plan, organize and implement ESG-related research and knowledge management activities - Implement and promote ESG related investing policies and monitor the relevant processes - Fulfil LPs' requirements on ESG surveys and related information disclosure - Work with PRI to implement information disclosure and other requirements.
<p>ESMS Manager of LC HC Fund II</p>	<p>The ESMS Manager will oversee the implementation of the ESMS and ensure that these procedures are integrated with LC HC II's business processes for evaluating a project's financial risks.</p> <p>The responsibilities of the ESMS Manager include:</p> <ul style="list-style-type: none"> • Cooperate with ESMS coordinator to supervise the implementation of ESMS; • Periodically review the operational difficulties or issues arising from ESMS implementation, arrange meetings to propose solutions, and report to ESG Committee for decision making when necessary; • Prepare the Annual Environmental & Social Performance Report to AIB based on the annual performance reports provided by its investee companies. <p>LC HC II will also ensure that the AIB is notified if and when the ESMS Manager leaves that position, and will provide the AIB the name of the new ESMS Manager.</p> <p>The ESMS Manager will maintain a file of qualified environmental and social consultants who can be called upon to assist in conducting environmental and social reviews.</p>
<p>ESMS Coordinator</p>	<ul style="list-style-type: none"> • Evaluate environmental compliance of potential investee company with applicable requirements during due diligence, such as site visits, collection of necessary E&S documentation, and prepare E&S due diligence reports. • Supervise portfolio project's on-going compliance with the applicable requirements on a regular basis, which may include: <ul style="list-style-type: none"> • Conducting site visits, monitoring the implementation of E&S action plan (if any) by the investee company, reviewing

	<p>investee company's annual reports, and recording investee company's E&S on-going performance.</p> <ul style="list-style-type: none"> Resolving E&S issues in case of non-compliance, and where needed, preparing a time-bound correction action plan with specific follow-up procedures.
Project manager	<ul style="list-style-type: none"> Conduct initial E&S risk screening during project identification stage; Provide necessary support ESMS manager
Legal Department	<ul style="list-style-type: none"> Ensures that LC HC Fund II's environmental and social requirements are incorporated in legal agreements for each transaction. Advise if a investee's non-compliance with environmental and social clauses constitutes a breach of contract and is considered an Event of Default under the terms of the legal agreement that requires follow-up by Senior Management.

Table 2 gives contacts of key persons holding responsibility for environmental and social performance.

Table 2: Deployment of Environment and Social Management Staff

Name	Position	Contact
Wang Yan	Director of Brand and Public Relations	wangyan@legendcapital.com.cn
Li Yong	Chief Management Consultant/ESMS Manager	liyong@legendcapital.com.cn
Liu Yaxu	Investment analyst/ESMS coordinator	liuyx@legendcapital.com.cn

On September 13, 2021, an ESMS implementation training was organized. A total of 19 staff from the LC HC II team and consultants participated in the training.

III. Summary of Current Operations

As end of 2021, AIIB fund has been allocated to 15 investee companies (Table 3). Environmental and social due diligence (ESDD) has been carried out for all the 15 investee companies prior to the investment.

Legend Capital has established and maintained an Environmental and Social Management System (ESMS) that incorporates the requirement set out by AIIB's Environmental and Social Policy and Environmental and Social Exclusion List.

All investee companies have been assessed based on the environmental and social risks and all risks will be minimal and mitigated with appropriate mitigation measures.

No high-risk sub-projects equivalent to Category A of AIIB's ESP will be considered for financing under AIIB fund.

The approved investee companies are categorized as Category B (93%) and Category C (7%).

Table 3: Summary of the Investee Companies AIIB Funded

	Company Name	Chinese Name	Business activity	E&S Category	Any significant environmental and social event ¹ during the reporting period? If yes, please specify	Please list any effective grievance or dispute (including court action) regarding land acquisition, resettlement, indigenous, labor, or environmental complaints received
1	Aidite	爱迪特	Manufacturing of dental device and equipment	B	None	None
2	Birdo	都创	CRO, CDMO and Molecular Blocks	B	None	None
3	Biotheus	普米斯	biomedicine and precision treatment	B	None	None
4	Bioheart	百心安	R&D, and manufacturing of cardiovascular device	B	None	None
5	Biomap	百图生科	Information technology and biotechnology	B	None	None
6	dMed	缔脉	Consulting services	C	None	None
7	Innostellar	朗信	Biomedicine and precision therapy	B	None	None

¹ Examples of significant incidents such as: chemical and/or hydrocarbon materials spills; fire, explosion of unplanned releases, including during transportation; ecological damage/destruction; local population impact, complaint or protest; failure of emissions or effluent treatment; legal/administrative notice of violation; penalties, fines or increase in pollution charges; negative media attention; chance cultural finds; labor unrest or disputes; local community concerns, or NGO/CSO concerns. Also include information if there are any additional land acquisitions and resettlement impacts.

8	Bondent	博恩登特	Dental device manufacturing and service	B	None	None
9	Sciwind	先为达	Development of new biomolecules in metabolic diseases	B	None	None
10	Star Sports	天星	Sports medicine medical device production, research and development, sales	B	None	None
11	Hemo	禾木	Medical device research and development, production and sales	B	None	None
12	Exegenesis	嘉因	Biomedicine and precision treatment	B	None	None
13	Lynk Pharma	凌科	Medicine drug research	B	None	None
14	ForQaly	丰凯	Ventricular assist system product development and manufacture	B	None	None
15	HCSi	瀚辰光翼	R&D, manufacturing, sales and after-sales service of life science and technology equipment, consumables, reagent automation and intelligent solutions	B	None	None

IV. Stakeholder Engagement and Grievance Redress

LC HC Fund II has established an external communication mechanism and a dedicated mailbox (grm@legendcapital.com.cn) to receive complaints, which was published on the website of Legend Capital received (https://www.legendcapital.com.cn/common_en/index.aspx?nodeid=57). As of the end of this reporting period, no complaints or grievances have been received.

V. Gaps and Corrective Measures

No corrective actions were required at fund level. At investee company level, during the pre-investment environmental and social due diligence, some gaps against the ESMS of LC HC Fund II were identified. Afterwards, LC put forward a time-limited improvement suggestion to the investee companies. During the reporting period, most of the deficiencies have been corrected. The remaining areas for improvement are shown in the table below. Afterwards, Legend put forward a time-limited improvement suggestion to the invested companies. During the reporting period, most of the deficiencies have been corrected. The remaining areas for improvement are shown in the table below. LC ESMS team will continue to follow up and report to the AIIB in the next annual report.

Table 4: Corrective Actions for Investee Companies

	Company Name	Corrective actions required	Timeframe
1	Aidite	None	N/A
2	Birido	<p>1) provide necessary project information to LC and assist LC conduct ESDD for the proposed Shandong plant once the information is available. Birido shall take corrective actions if any non-compliance is identified during the ESDD.</p> <p>2) Incorporate the clauses related to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities natural and artificial lighting, as relevant basic medical services, freedom of movement and of association and good housekeeping into the civil work contract for the new plants.</p> <p>3) disclose the EIA of Shandong Plant on the website of Birido when it is ready;</p> <p>4) conduct consultation and information disclosure with nearby community and other relevant stakeholders for the Chongqing Plant and Shandong Plant prior to commencement of construction.</p> <p>5) LC will conduct follow-up semi-annual E&S compliance auditing during the construction of Chongqing Plant and Shandong Plant and report the status in the annual E&S performance monitoring report to AIIB.</p>	<p>1) LC will conduct ESDD for the Shandong plant in the first half of 2022 and report the results in the next monitoring report.</p> <p>2) Q1 of 2022</p> <p>3) Q1 of 2022.</p> <p>4) No community in the surrounding. Project information will be disclosed on the entrance of the construction site in Q1 of 2022 prior to start of construction.</p> <p>5) The construction is expected to be started in 2022. LC will conduct follow-up E&S compliance auditing on semi-annual basis.</p>
3	Biotheus	establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days from receiving the corrective action plan from LC.	Q1 of 2022

4	Bioheart	None	N/A
5	Biomap	<p>1) establish formal GRM for employees and external stakeholders with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievance channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.</p> <p>2) set up EHS related department and establish EHS system covering bio-safety, emergency response, chemical management, waste disposal etc. and provide adequate trainings to the staff once the labs are in use.</p>	<p>1) Q1 2022</p> <p>2) First half of 2022.</p>
6	dMed	None	N/A
7	Innostellar	None	N/A
8	Bondent	None	N/A
9	Sciwind	<p>1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the R&D center, which is expected to be in May 2021.</p> <p>2) SciWind shall develop training plan and organize adequate trainings on EHS to the staff prior to operation, which is expected to be in May 2021.</p> <p>3) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievance channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p> <p>4) Establish employee GRM procedures within 90 days from receiving the recommended corrective action plan from LC.</p>	<p>1) Q1 of 2022.</p> <p>2) Q1 of 2022.</p> <p>3) Q1 of 2022.</p> <p>4) Q1 of 2022.</p>
10	Star Sports	None	N/A
11	Hemo	Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievance channel to the public, including AIIB's Project-affected People's	After the company is listed.

		Mechanism within 90 days from receiving the recommended corrective action plan from LC.	
12	Exegenesis	Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.	Q1 of 2022
13	Lynk Pharma	<ol style="list-style-type: none"> 1. Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2. Develop annual EHS training program within 90 days receiving the recommended corrective action plan from LC and provided adequate trainings on EHS, especially on chemicals management and emergency preparedness to its staff every year. 3. Establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days. 	<ol style="list-style-type: none"> 1. Q1 of 2022 2. Q1 of 2022 3. Q1 of 2022
14	ForQaly	<ol style="list-style-type: none"> 1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days. 	<ol style="list-style-type: none"> 1. Q1 of 2022. 2. Q1 of 2022
15	HCSci	None	N/A

Appendix 1 E&S Monitoring Report-Aidite

Company Name	Aidite (Qinhuangdao) Technology Co.		
Main business	Zirconia, glass ceramic, resin and other dental materials, dental digital solutions and services		
Address	No 9. Dushan Road, Economic and Technological Development Zone, Qinhuangdao City, Hebei Province, China		
Website / Homepage	https://www.aidite.com/		
Management staff responsible for environmental and social affairs	Name: Xie Wandong Position: Vice President		
Prepared by	Name: Zhu Mengxin Contact information.18833507952		
Reporting Period	Current Period (Jan 1-Dec 31, 2021)		
Number of employees statistics		Male	Female
	Permanent staff	349	271
	Outsourced employees	0	0
	Management	46	17
	Total	395	288
New jobs created during the reporting period	168		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No There was no economic retrenchment in the reporting period. 14 employees were dismissed due to incompetence, and 1 employee was dismissed due to violation of company regulations.		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	The company donated intraoral scanner equipment and zirconia porcelain blocks to Dalian Centennial Vocational School to support dental education in China and provide employment opportunities for people with disabilities, etc.		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if	None		

<p>so, please briefly explain the causes of the accidents and the treatment plan</p>				
<p>Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None			
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>Training Topics</p>	<p>Time</p>	<p>Training Target</p>	<p>Number of participants</p>
	<p>Annual production safety re-education training</p>	<p>2021.3.1</p>	<p>All staff</p>	<p>470</p>
	<p>Occupational Health</p>	<p>2021.3.1</p>	<p>All staff</p>	<p>470</p>
	<p>Hazardous chemical management training</p>	<p>2021.4.9</p>	<p>Relevant personnel of R&D department, production department and subsidiaries</p>	<p>20</p>

	Emergency rescue training for electrocution accidents	2021.4.26	Electricians	7
	Fire emergency drills	2021.5.22	All staff	470
	Safety certificate review training	2021.7.20	Safety management personnel	5
	Sharing of typical cases of production safety accidents	2021.8.17	Production department	104
	Safety education and training for special operators	2021.9.7	Special operators	5
	Safety risk identification and control	2021.12.9	All staff	470
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	<p>Category: waste activated carbon, used chemical reagents, waste UV lamps.</p> <p>Generation: 1.4 tons of waste activated carbon, 0.2 tons of discarded chemical reagent, 5 kilograms of waste UV lamp.</p> <p>Contracted hazardous waste disposal company: Qinhuangdao Xusankou Hazardous Waste Treatment Co.</p> <p>Photos of the company's temporary storage site:</p>			
Implementation of E&S corrective action plan	<p>The ESDD conducted in end of 2020 found that Aidite didn't have external grievance mechanism. With guidance of LC, Aidite established an external complaint mechanism and published contact information such as contact phone numbers or email addresses on the company's official website, WeChat public website and Weibo to disclose complaint channels to the public.</p>			






Appendix 2 E&S Monitoring Report-Birdo

Company Name	Birdo (Shanghai) Pharmaceutical Technology Co.		
Main business	CRO, CDMO and Molecular Blocks		
Address	Room 402-A, Building 1, No.1199 Indigo Road, Pudong New Area, Shanghai		
Website / Homepage	https://www.birdotech.com/zh		
Management staff responsible for environmental and social affairs	Name: Wang Shufa Position: Secretary of the Board of Directors		
Prepared by	Name: Wang Shufa Position: Secretary of the Board of Directors Contact: shufa.wang@birdotech.com		
Reporting Period	1 Jan 2021-31 December 2021		
Number of employees		Male	Female
	Permanent staff	344	167
	Outsourced employees	None	None
	Management	4	2
New jobs created during the reporting period	213		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Yes, donations were made to Chongqing and Shandong during the outbreak of COVID-19, as well as donations to laboratories of local schools in Lingang, Shanghai.		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly	None		

explain the causes of the accidents and the treatment plan																	
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None																
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None																
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None																
Please describe the environmental, health and safety training and fire drills organized during this reporting period	<table border="1"> <thead> <tr> <th>Training Topics</th> <th>Time</th> <th>Training Target</th> <th>No. of Participants</th> </tr> </thead> <tbody> <tr> <td>2021 Fire Drill</td> <td>2021.11.09</td> <td>All employees of Birdo (Zhoupu)</td> <td>128</td> </tr> <tr> <td>Security Exam</td> <td>11.23-11.30, 2021</td> <td>New employees of R&D division</td> <td>89</td> </tr> <tr> <td>Full knowledge training on the new Work Safety Law</td> <td>2021.12.22</td> <td>All employees of Birdo in Zhoupu and Lingang</td> <td>150</td> </tr> </tbody> </table>	Training Topics	Time	Training Target	No. of Participants	2021 Fire Drill	2021.11.09	All employees of Birdo (Zhoupu)	128	Security Exam	11.23-11.30, 2021	New employees of R&D division	89	Full knowledge training on the new Work Safety Law	2021.12.22	All employees of Birdo in Zhoupu and Lingang	150
	Training Topics	Time	Training Target	No. of Participants													
	2021 Fire Drill	2021.11.09	All employees of Birdo (Zhoupu)	128													
	Security Exam	11.23-11.30, 2021	New employees of R&D division	89													
Full knowledge training on the new Work Safety Law	2021.12.22	All employees of Birdo in Zhoupu and Lingang	150														
Please confirm that the company does not employ child	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No																

<p>labor and forced labor</p>	
<p>Hazardous waste</p>	<p>Category: HW49 Production volume: 335.98t Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co., Ltd, Shaanxi Shuifa Environment Co. Photos of the company's temporary storage on site:</p> 
<p>Implementation of E&S corrective action plan:</p> <p>1) establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism by 31 March 2021.</p> <p>2) establish dedicated GRM for the construction of new plants before the commencement of construction and ensure the workers of contractor also have access to the GRM.</p>	<p>1) GRM has established and the grievance channel was disclosed on the company website: https://www.birdotech.com/en/contact</p> <p>2) Construction does not start yet.</p>

<p>3) disclose the EIA of Chongqing plant on the website of Birdo by 30 September 2021.</p>	<p>3) The EIA was approved by Chongqing Municipal Ecology and Environment Bureau in July 2021. The full EIA was disclosed on: http://sthjj.cq.gov.cn</p>
<p>4) provide necessary project information to LC and assist LC conduct ESDD for the proposed Shandong plant once the information is available. Birdo shall take corrective actions if any non-compliance is identified during the ESDD.</p>	<p>4) The company committed to provide all necessary information if requested and assisted LC conduct ESDD. LC will conduct ESDD for the Shandong plant in 2022 and report the results in the next monitoring report.</p>
<p>5) Incorporate the clauses related to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities natural and artificial lighting, as relevant basic medical services, freedom of movement and of association and good housekeeping into the civil work contract for the new plants.</p>	<p>5) Birdo agreed to incorporate those clauses in the civil work contract.</p>
<p>6) disclose the EIA of Shandong Plant on the website of Birdo when it is ready;</p>	<p>6) Birdo agreed to disclose the EIA report of Shandong plant when it is ready, which is expected to be in early 2022.</p>

<p>7) conduct consultation and information disclosure with nearby community and other relevant stakeholders for the Chongqing Plant and Shandong Plant prior to commencement of construction.</p> <p>8) continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC.</p> <p>LC will conduct follow-up semi-annual E&S compliance auditing during the construction of Chongqing Plant and Shandong Plant and report the status in the annual E&S performance monitoring report to AIB.</p>	<p>7) For Chongqing plant: there is no residents or other plants in the surrounding. Two rounds of information disclosure and public consultation have been done in the EIA process. Project information was disclosed on Chongqing Evening News (19 Jan 2021 and 21 Jan 2021), website of Tongnan District Government (15 Jan 2021 and 9 July 2021) before submission to the Chongqing Ecology and Environment Bureau. The full EIA report was disclosed on the website of Chongqing Ecology and Environment Bureau (21 July 2021). No comments were received during the public notice period.</p> <p>For Shandong Plant: two rounds of information disclosure have been carried out on the website of Liangshan County Government as so far (26 February 2021 and 3 November 2021). No comments were received during the public notice period.</p> <p>Project information will be disclosed on the entrance of the construction site in Q1 of 2022 prior to start of construction.</p> <p>8) The E&S report of Birdo was provided to LC in January 2022.</p> <p>The construction is expected to be started in 2022. LC will conduct follow-up E&S compliance auditing on semi-annual basis.</p>
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Appendix 3 E&S Monitoring Report-Biotheus

Company Name	Biotheus		
Main business	Mainly engaged in the development and commercialization of innovative biologics, including antibody drugs and cell therapy products		
Address	Headquartered in Zhuhai, Guangdong Province, China		
Website / Homepage	www.biotheus.com		
Management staff responsible for environmental and social affairs	Name: He Bing Yan Position: Senior Director		
Prepared by	Name: Pan Yuzhong Position: Engineering Manager Contact information.13862165342		
Reporting Period	1 Jan to 31 Dec, 2021		
Number of employees		Male	Female
	Permanent staff	119	156
	Outsourced employees	0	0
	Management	41	30
New jobs created during the reporting period	169		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved	None		

and whether any remain unresolved													
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <p>The stop-work rectification notice issued by the safety supervision station of the development zone in July was mainly for the poor control of bare soil coverage and dust at the piling stage on site.</p> <p>In accordance with the requirements of the safety supervision station, site bare soil coverage was improved, and vehicle washing device and sprinkler were equipped on site. The dust was effectively controlled on site.</p>												
Please describe the environmental, health and safety training and fire drills organized during this reporting period	<table border="1"> <thead> <tr> <th>Training Topics</th> <th>Time</th> <th>Training Target</th> <th>Number of participants</th> </tr> </thead> <tbody> <tr> <td>First Aid Training</td> <td>12 Oct, 2021</td> <td>Management</td> <td>18</td> </tr> <tr> <td>Fire Drill</td> <td>8 Sep, 2021</td> <td>Management</td> <td>12</td> </tr> </tbody> </table>	Training Topics	Time	Training Target	Number of participants	First Aid Training	12 Oct, 2021	Management	18	Fire Drill	8 Sep, 2021	Management	12
Training Topics	Time	Training Target	Number of participants										
First Aid Training	12 Oct, 2021	Management	18										
Fire Drill	8 Sep, 2021	Management	12										
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No												
Hazardous waste	2.5 tons of waste liquid and solid waste from labs. Disposed by Jiangmen City Yamen New Fortune Environmental Industry Company.												
<p>Implementation of E&S corrective action plan:</p> <p>1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.</p> <p>2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days from receiving the corrective action plan from LC.</p> <p>3. keep good records on management of hazardous waste, including amount generated, and duplicate forms for transfer of hazardous waste, and report to LC as part of the annual E&S report.</p>	<p>1. The GRM access was disclosed on the company website.</p> <p>2. LC has provided guidance to Biotheus on the employment GRM in September 2021. The establishment of employment is ongoing and will be reported in the next annual E&S report.</p> <p>3. The records of hazardous waste transportation and disposal were reviewed by LC and concluded it was handled in line with the PRC regulation.</p> <p>4. The E&S report of Biotheus was provided to LC in January 2022.</p>												

<p>4. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.</p>	
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction. The construction of the R&D building, production building, warehouse, cell therapy and utility station and some ancillary buildings is underway, which was started on June 8,2021, and is expected to be completed on 31 June 2023.</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No The Environmental Impact Report for the Phase I of Biotheus Innovative Drug Production Project was approved by the Ecological Environment Bureau of Nantong Economic and Technological Development Zone on August 4,2021.</p>
<p>3. Number of construction workers during peak period: 295.</p>
<p>4. Is there a construction camp on site, if so, please provide photos of the construction camp. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> 
<p>5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>6. Please confirm whether the contractor has developed a construction site environmental management plan. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> 

8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

Yes No

Form No. MS-FRM-001 (Rev. 1)

CONSTRUCTION TRAINING ATTENDANCE RECORD
建築業訓練出席紀錄表

Training Details 訓練詳情

Company Name 公司: 上海建通建築有限公司
Director 經理: 王中平
Venue 訓練地點: 大連路

Preparer Name 培訓師: 王福慶
Date of Training 日期: 2012/12/11
Time of Training 時間: 14:00

Description of Training 培訓內容: 安全培訓

Attendance List 出席名單

No.	Full Name 姓名	ID/Passport No. 身份證號碼	Position 職位	Company 公司	Signature 簽名
1	李三	41122119900101001	木工	上海建通	李三
2	張四	41122119900201002	木工	上海建通	張四
3	王五	41122119900301003	木工	上海建通	王五
4	趙六	41122119900401004	木工	上海建通	趙六
5	陳七	41122119900501005	木工	上海建通	陳七
6	周八	41122119900601006	木工	上海建通	周八
7	吳九	41122119900701007	木工	上海建通	吳九
8	孫十	41122119900801008	木工	上海建通	孫十
9	李十一	41122119900901009	木工	上海建通	李十一
10	張十二	41122119901001010	木工	上海建通	張十二
11	王十三	41122119901101011	木工	上海建通	王十三
12	趙十四	41122119901201012	木工	上海建通	趙十四

Form Completed by (print name): 王福慶
Signature 簽名: [Signature]
Date 日期: 2012/12/11

Form No. MS-FRM-001 (Rev. 1)

CONSTRUCTION TRAINING ATTENDANCE RECORD
建築業訓練出席紀錄表

Training Details 訓練詳情

Company Name 公司: 上海建通建築有限公司
Director 經理: 王中平
Venue 訓練地點: 大連路

Preparer Name 培訓師: 王福慶
Date of Training 日期: 2012/12/11
Time of Training 時間: 14:00

Description of Training 培訓內容: 安全培訓

Attendance List 出席名單

No.	Full Name 姓名	ID/Passport No. 身份證號碼	Position 職位	Company 公司	Signature 簽名
1	李三	41122119900101001	木工	上海建通	李三
2	張四	41122119900201002	木工	上海建通	張四
3	王五	41122119900301003	木工	上海建通	王五
4	趙六	41122119900401004	木工	上海建通	趙六
5	陳七	41122119900501005	木工	上海建通	陳七
6	周八	41122119900601006	木工	上海建通	周八
7	吳九	41122119900701007	木工	上海建通	吳九
8	孫十	41122119900801008	木工	上海建通	孫十
9	李十一	41122119900901009	木工	上海建通	李十一
10	張十二	41122119901001010	木工	上海建通	張十二
11	王十三	41122119901101011	木工	上海建通	王十三
12	趙十四	41122119901201012	木工	上海建通	趙十四

Form Completed by (print name): 王福慶
Signature 簽名: [Signature]
Date 日期: 2012/12/11

Form No. MS-FRM-001 (Rev. 1)

CONSTRUCTION TRAINING ATTENDANCE RECORD
建築業訓練出席紀錄表

Training Details 訓練詳情

Company Name 公司: 上海建通建築有限公司
Director 經理: 王中平
Venue 訓練地點: 大連路

Preparer Name 培訓師: 王福慶
Date of Training 日期: 2012/12/11
Time of Training 時間: 14:00

Description of Training 培訓內容: 安全培訓

Attendance List 出席名單

No.	Full Name 姓名	ID/Passport No. 身份證號碼	Position 職位	Company 公司	Signature 簽名
1	李三	41122119900101001	木工	上海建通	李三
2	張四	41122119900201002	木工	上海建通	張四
3	王五	41122119900301003	木工	上海建通	王五
4	趙六	41122119900401004	木工	上海建通	趙六
5	陳七	41122119900501005	木工	上海建通	陳七
6	周八	41122119900601006	木工	上海建通	周八
7	吳九	41122119900701007	木工	上海建通	吳九
8	孫十	41122119900801008	木工	上海建通	孫十
9	李十一	41122119900901009	木工	上海建通	李十一
10	張十二	41122119901001010	木工	上海建通	張十二
11	王十三	41122119901101011	木工	上海建通	王十三
12	趙十四	41122119901201012	木工	上海建通	趙十四

Form Completed by (print name): 王福慶
Signature 簽名: [Signature]
Date 日期: 2012/12/11

9. Are real-time monitoring facilities for noise, PM₁₀ and PM_{2.5} installed and connected to the local government's control center?

Yes No

10. Whether the noise, PM₁₀ and PM_{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken

Yes No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

Yes No

Appendix 4 E&S Monitoring Report-Bioheart

Company Name	Shanghai Bio-heart Biological Technology Co., Ltd		
Main business	Research and development of medical devices		
Address	Room 302, Building 9 North, No. 590, Ruiqing Road, Pudong New Area, Shanghai, China		
Website / Homepage	http://www.bio-heart.com/		
Management staff responsible for environmental and social affairs	Name: Wang Yunxin Position: CFO		
Prepared by	Name: Wang Peili Position: Finance Manager Contact: 13817219127		
Reporting Period	1 Jan to 31 Dec, 2021		
Number of employees statistics		Male	Female
	Permanent staff	19	32
	Outsourced employees	0	0
	Management	5	0
New jobs created during the reporting period	17		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved	None		

and whether any remain unresolved				
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	Number of participants
	Fire Safety	128Oct, 2021	Frontline employee	18
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	Category: 900-047-49 laboratory waste liquid; 900-041-49 filtration materials and solid waste. Amount: 0.5 tons/year Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co.			
Implementation of E&S corrective action plan.	No corrective action is required based on the ESDD results.			




If there are projects under construction during the reporting period, please fill in the following table.

1. Please briefly describe the construction content, start time and expected completion time of the project under construction. The company leases the factory building of Shanghai Jiechang Industrial Co., Ltd. at Building 18, No. 315, Qingda Road, Pudong New Area, Shanghai, mainly engaged in the production of drug stents, balloon delivery devices, and medical catheters.
2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No The EIA was approved by the Pudong Ecology and Environment Bureau in May 2021.
3. Number of construction workers during peak period: 30.
4. Is there a construction camp on site, if so, please provide photos of the construction camp. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

6. Please confirm whether the contractor has developed a construction site environmental management plan. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
8. Whether EHS-related training is provided to workers on a regular basis (please attach training records) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
9. Are real-time monitoring facilities for noise, PM ₁₀ and PM _{2.5} installed and connected to the local government's control center? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
10. Whether the noise, PM ₁₀ and PM _{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Appendix 5 E&S Monitoring Report-Biomap

Company Name	Biomap (Beijing) Intelligent Technology Co.		
Main business	Technology development and consulting, technology exchange, technology transfer, technology promotion, technology services; computer systems services; basic software services; application software services; software development; software consulting; natural science research and experimental development; medical research and experimental development.		
Address	N801, 8/F, Block C, No.2 South Academy of Sciences, Haidian District, Beijing		
Website / Homepage	www.biomap.com		
Management staff responsible for environmental and social affairs	Name: Zhang Mingzhen Position: Vice President of Operations		
Prepared by	Name: Zhang Dayin Position: Compliance Director Contact: dayin@biomap.com		
Reporting Period	1 September-31 December 2021		
Number of employees statistics		Male	Female
	Permanent Staff	55	41
	Outsourced employees	0	0
	Management	7	2
New jobs created during the reporting period	75		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None.		
Please Confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		

<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	<p>None</p>			
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	<p>None</p>			
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>Training Topics</p>	<p>Time</p>	<p>Training Target</p>	<p>Number of participants</p>
	<p>Laboratory Safety Training</p>	<p>2021 Total 11 time in the year</p>	<p>Lab Staff</p>	<p>Cumulative attendance of 47 people</p>
	<p>EHS Training</p>	<p>2021/11/30</p>	<p>Lab Staff</p>	<p>9 people</p>
<p>Please confirm that the company does not employ child labor and forced labor</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>			
<p>Hazardous waste</p>	<p>Category: Solid waste, waste liquid Generation: Solid waste 1 6bag/month, waste liquid 12L/month Disposal unit name: Beijing GTC Mangrove Environmental Technology Co. Photos of the company's temporary storage site.</p> 			
<p>Environmental social corrective action plan implementation: 3) establish formal GRM form employees and external stakeholders with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.</p>	<p>3) LC has proposed the recommended corrective actions to Biomap. The establishment of GRM is on-going and will report to LC when it is developed. 4) Done. Beijing GTC Mangrove Environmental Technology Co. was hired to handle the hazardous waste.</p>			

<p>4) hire a licensed third party to handle the hazardous waste if the lab generates any waste in the list of "National Hazardous Waste List (2021 version, updated from time to time);</p> <p>5) set up EHS related department and establish EHS system covering bio-safety, emergency response, chemical management, waste disposal etc. and provide adequate trainings to the staff once the labs are in use.</p> <p>6) Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC in January each calendar year.</p>	<p>5) On-going</p> <p>6) The E&S report was provided to LC in January 2022.</p>
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction</p> <p>Suzhou office and laboratory renovation with total floor area of 7000 m². Construction started in July 2021 and expected to be completed in May 2022.</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>The EIA was approved by Suzhou Industrial Park Ecological Environment Bureau in 2021.</p>
<p>3. Number of construction workers during peak period: 50</p>
<p>4. Is there a construction camp on site, if so, please provide photos of the construction camp?</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>5. Please confirm that EHS requirements are incorporated into engineering, procurement and construction contracts.</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>6. Please confirm whether the contractor has developed a construction site environmental management plan</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>9. Are real-time monitoring facilities for noise, PM10 and PM2.5 installed and connected to the local government's control center?</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>10. Whether the noise, PM10 and PM2.5 monitoring results have exceeded the standard. If yes, what corrective measures have been taken</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have channel to raise grievances related to labor and working and employment conditions?

Yes No


Appendix 6 E&S Monitoring Report-dMed

Company Name	dMed Biopharmaceutical Technology (Shanghai) Co.		
Main business	Clinical Phase I-V Clinical R&D Outsourcing Services (CRO)		
Address	Room 301-305, No.298 Xiangke Road, Pudong New Area, Shanghai		
Website / Homepage	www.dmedglobal.com		
Management staff responsible for environmental and social affairs	Name: Xu Yu Position: Vice President, Head of Human Resources and Operations China		
Prepared by	Name: Rubin Qiao; Miaomiao Yang Position: Human Resources and Operations Contact: 13693834086; 15821446566		
Reporting Period	1 Jan to 31 Dec, 2021		
Number of employees		Male	Female
	Permanent Staff	55	41
	Outsourced employees	0	0
	Management	7	2
New jobs created during the reporting period	352		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		

Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None
Please describe the environmental, health and safety training and fire drills organized during this reporting period	None
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Hazardous waste	None
<p>Implementation of E&S corrective action plan:</p> <p>As AIIB invested project, dMed is required to establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.</p>	<p>The GRM was established, and the grievance channel was disclosed on the website of the company: https://www.dmedglobal.com/cn/contact.aspx</p>

Appendix 7 E&S Monitoring Report-Sciwind

Company Name	Hangzhou Sciwind Biotechnology Co., Ltd.		
Main business	Development of new biomolecules in metabolic diseases		
Address	802Room 1, West Peak, East International Business Center, 00No.6 Jinsha Avenue, Qiantang District, Hangzhou, China		
Website / Homepage	http://www.sciwind.com.cn/		
Management staff responsible for environmental and social affairs	Name: Pan Hai Position: General Manager		
Prepared by	Name: Wang Jianjiong Position: Executive Director of Government Affairs Contact: 139 5710 7273		
Reporting Period	1 Jan-31 Dec, 2021		
Number of employees		Male	Female
	permanent Staff	30	50
	Outsourced employees	0	0
	Management	13	18
New jobs created during the reporting period	23		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were	None		

<p>resolved and whether any remain unresolved</p>	
<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	<p>None</p>
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	<p>None</p>
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>None</p>
<p>Please confirm that the company does not employ child labor and forced labor</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>Hazardous waste</p>	<p>Category: HW49 Production volume: 2.4 tons/year Disposal unit name: Beijing GTC Mangrove Environmental Technology Co. Photos of the company's temporary storage site:</p> 
<p>Implementation of E&S corrective action plan:</p> <p>1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the R&D</p>	<p>1) On-going. The company plans to complete the development of standard operation procedures in the first quarter of FY2022.</p> <p>2) Done. Beijing GTC Mangrove Environmental Technology Co was engaged to handle the hazardous waste.</p>

<p>center, which is expected to be in May 2021.</p> <p>2) The liquid waste and solid waste from labs are identified as hazardous waste. SciWind shall engage licensed third party to handle the hazardous waste prior to operation.</p> <p>3) SciWind shall develop training plan and organize adequate trainings on EHS to the staff prior to operation, which is expected to be in May 2021.</p> <p>4) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p> <p>5) Establish employee GRM procedures within 90 days from receiving the recommended corrective action plan from LC.</p> <p>6) Ensure the contractors and/or suppliers comply with the national labor laws through complying the terms into the services contract with contractors/suppliers by March 2021.</p>	<p>3) An EHS Specialist is planned to be recruited in the first quarter of 2022 to train employees on EHS.</p> <p>4) On-going. It is expected that the external GRM can be established in the first quarter of 2022.</p> <p>5) On-going. It is expected that the employee GRM can be established in the first quarter of 2022.</p> <p>6) Terms on requirements of national labor laws were included in the contract with contractor/supplier.</p>
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction</p> <p>Construction of R&D laboratories: interior decoration works, electrical works, HVAC works, plumbing works and other internal decoration.</p> <p>Start date: 2021 March 29, 2021</p> <p>Completion date: July 7, 2021</p>

2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)
 Yes No
 Approval date: March 2, 2021.
 Approved by: Beijing Economic and Technological Development Area Administrative Approval Bureau


3. Number of construction workers during peak period
 Construction workers about 60 people

4. Is there a construction camp on site, if so, please provide photos of the construction camp.
 Yes No
 No construction camp on site

5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts.
 Yes No

6. Please confirm whether the contractor has developed a construction site environmental management plan
 Yes No

7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)
 Yes No



8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)
 Yes No

安全文明施工联合检查记录

工程名称	北京先为达生物科技有限公司研发实验室项目	档案编号	20210618
施工单位	中电环宇(北京)建设工程有限公司	检查时间	20210618
监理单位	北京康都安泰建筑工程有限公司	检查部位	3#楼一层, 4#楼首层, 二层
检查单位	北京先为达生物科技有限公司		
参加单位	参加单位	参加人员	
	北京先为达生物科技有限公司	付春梅	
	北京先为达生物科技有限公司	贾海峰	
参加	北京先为达生物科技有限公司	袁江涛	
联合	中电环宇(北京)建设工程有限公司	李国彬	
检查	中电环宇(北京)建设工程有限公司	陈凯章	
单位	中电环宇(北京)建设工程有限公司	陈胜	
及人	中电环宇(北京)建设工程有限公司	张国华	
员	中电环宇(北京)建设工程有限公司	张芳明	
	中电环宇(北京)建设工程有限公司	李滨	
	中电环宇(北京)建设工程有限公司	李蔚龙	

本次检查发现的问题:

3#楼: 二层、4#楼: 首层、二层

- 4#楼二层电梯口存放堆砌物料散乱, 占压人员通道;
- 4#楼二层南向窗台钢筋龙骨杂乱, 归类后放置整齐;
- 3#楼一层技术夹层临边防护缺失;
- 3#楼、4#楼局部施工区域内照明灯具缺失;
- 3#楼一层电梯安装区域设置警戒区, 防止物品掉落;
- 3#楼空载电梯施工区域电梯机一次段过长, 造成接头破裂;
- 施工区域内禁止吸烟;

以上问题详见附件

整改要求:

以上问题, 请相关单位整改和销项方式, 整改内容自行向我司:

检查单位	北京先为达生物科技有限公司	签字人	(签字)
监理单位	中电环宇(北京)建设工程有限公司	签字人	(签字)
主送单位	北京康都安泰建筑工程有限公司	签字人	(签字)

备注: 本检查记录由总包单位负责移交各相关分包单位

9. Are real-time monitoring facilities for noise, PM10 and PM2.5 installed and connected to the local government's control center?
 Yes No

10. Whether the noise, PM10 and PM2.5 monitoring results have exceeded the standard. If yes, what corrective measures have been taken

Yes No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

Yes No

Contractor workers can appeal through the contacts disclosed on the entrance of the construction site.

Appendix 8 E&S Monitoring Report-Bondent

Company Name	Shanghai Boendent Technology Co.		
Main business	R&D, production and sales of oral medical devices		
Address	13F, Hope Business Building, No. 655, Fengzhou Road, Jiading New Town, Jiading District, Shanghai		
Website / Homepage	www.bondent.com		
Management staff responsible for environmental and social affairs	Name: Shi Yacheng Position: Board Secretary/Vice President		
Prepared by	Name: Chen Litong Position: Investment and Financing Manager Contact: 18225878706		
Reporting Period	1 Jan-31 Dec, 2021		
Number of employees		Male	Female
	permanent Staff	518	458
	Outsourced employees	0	0
	Management	12	2
New jobs created during the reporting period	206		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	In order to implement the cooperation project with the Chinese Stomatological Association and practice the group mission of "making dental care affordable for the common people", Bondent donated two dental chairs to the Tibetan Hospital of Tashilhunpo Monastery, Sangzhuzi District, Shigatse City, Tibet Chair, further improve the hospital's medical conditions, enhance the level of local medical services, and benefit the local people.		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		

Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	No.of participants
	Firefighting	2021.05.21	All employees	30
	risk identification, assessment and management	2021.10.15	Leadership Team Members	30
	Hazard Identification and Risk Management	2021.11.26	All employees	30
	Firefighting	2021.12.23	All employees	30
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	Category: solid waste/waste liquid Amount: 53 tons/a Name of disposal unit: Wujiang Lvyi Solid Waste Recycling and Disposal Co., Ltd./Changzhou Dawei Environmental Technology Co., Ltd. Photos of the company's temporary storage site:			

		
Implementation of E&S corrective action plan:	None	

Appendix 9 E&S Monitoring Report-Innostellar


Company Name	Innostellar Biotechnology Co.		
Main business	Gene therapy innovative drug development, production and sales		
Address	Floor 1-3, Building C31, No. 218, Xinghu Street, Suzhou Industrial Park, Jiangsu Province Room B210, No. 1077 Zhangheng Road, Pudong New Area, Shanghai		
Website / Homepage	https://www.innostellarbio.com/		
Management staff responsible for environmental and social affairs	management personnel responsible for the environment Name: Pu Guohua Position: Director of Engineering management personnel responsible for social affairs Name: Li Haixia Title: Director of Operations and Government Affairs		
Prepared by	Name: Zhang Jianao Position: Chief Financial Officer Contact: 13482848241		
Reporting Period	Jan 1-Dec 31, 2021		
Number of employees		Male	Female
	permanent staff	42	36
	Outsourced staff	0	
	Management	5	6
New jobs created during the reporting period	54		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	In 2021, Innostellar donated 10,000 yuan to Suzhou Aikon Shikang Public Welfare Service Center to develop a platform to connect volunteers and visually impaired helpers		
Whether major environmental and safety accidents/incidents have occurred in this	None		

<p>reporting period, if so, please briefly explain the causes of the accidents and the treatment plan</p>				
<p>Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None			
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>Training Topics</p>	<p>Time</p>	<p>Training Target</p>	<p>No. of participants</p>
	<p>Safety in micro and small enterprises</p>	<p>2021.8.5</p>	<p>Directors of departments</p>	<p>7</p>
	<p>Chemical management</p>	<p>2021.7.15</p>	<p>Personnel involved in the use and storage of chemicals</p>	<p>15</p>
	<p>Company-level safety education</p>	<p>New employee onboarding time</p>	<p>All staff</p>	<p>80</p>
<p>Please confirm that the company does not employ child labor and forced labor</p>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

<p>Hazardous waste</p>	<p>Category: waste consumables, R&D inspection waste liquid, cleaning waste liquid, waste filter and activated carbon, waste culture medium, waste rag Yield: 15 tons Name of disposal unit: Sino-Singapore SUEZ Environmental Protection Technology (Suzhou) Co., Ltd., Wujiang Luyi Solid Waste Recycling and Disposal Co., Ltd.</p> 
<p>Implementation of E&S corrective action plan:</p> <p>1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the Suzhou R&D center, which is expected to be end of March 2021.</p> <p>2) The liquid waste and solid waste from labs are identified as hazardous waste. Innostellar shall engage licensed third party to handle the hazardous waste prior to operation, which is expected to be end of March 2021.</p> <p>3) Innostellar shall develop training plan and organize adequate trainings on EHS and bio-safety to the staff prior to operation, which is</p>	<p>1. Management procedures and regulations on laboratory management have been established in June 2021; (relevant regulations was provided to LC).</p> <p>2. In January 2021, a qualified third party (Shanghai Tianhan Environmental Resources Co., Ltd.) has been hired to deal with hazardous waste; (a contract was provided to LC)</p> <p>3. EHS-related training has been conducted; (training records was provided to LC)</p> <p>4. The relevant animal testing contract has been signed with Zhaoyan, and Zhaoyan has been entrusted to deal with the biological medical waste. Innostellar will inspect the compliance with contract clauses.</p> <p>5. GRM was established, and the contact information has been disclosed on the company's official website, WeChat and other channels to resolve any complaints raised by those affected if any.</p>

<p>expected to be end of March 2021.</p> <p>4) Properly dispose the test animals from pre-clinical trials as bio medical waste.</p> <p>5) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days.</p>	
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction Suzhou workshop project, mainly including commissioning workshop, QC lab, PD&AD lab, warehouse, and office area. Construction started on Jan 2021 and is expected to be completed in June 2022.</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No EIA report was approval by Suzhou Industrial Park Land and Environmental Protection Bureau in January 2021.</p>
<p>3. Number of construction workers at peak: 55</p>
<p>4. Is there a construction camp on site, if so, please provide photos of the construction camp? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> 
<p>5. Please confirm that EHS requirements are incorporated into engineering, procurement and construction contracts. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>

6. Please confirm whether the contractor has developed a construction site environmental management plan.
 Yes No

7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)
 Yes No Please



8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)
 Yes No
Safety morning meeting is conducted daily.



9. Are real-time monitoring facilities for noise, PM10 and PM2.5 installed and connected to the local government's control center?
 Yes No

10. Whether the noise, PM10 and PM2.5 monitoring results have exceeded the standard. If so, what corrective measures have been taken
 Yes No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?
 Yes No

Appendix 10 E&S Monitoring Report-Star Sports

Company Name	Beijing Star Sports Medical Equipment Co.		
Main business	Sports medicine medical device production, research and development, sales		
Address	A018, 1F, Building 1, No.25, Jinghai 2nd Road, Yizhuang Economic & Technological Development Zone, Beijing		
Website / Homepage	www.starsportmed.com		
Management staff responsible for environmental and social affairs	Name: Fu Miao Position: human resource director		
Prepared by	Name: Fu Miao Position: HRD		
Reporting Period	1 Jan-31 Dec, 2021		
Number of employees		Male	Female
	permanent Staff	96	92
	Outsourced		1
	Management	5	6
New jobs created during the reporting period	21		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Regularly carry out sports medicine knowledge popularization activities in the community.		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were	None		

resolved and whether any remain unresolved				
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	No. of participants
	EHS Training	May, November 2021	Personnel from all related departments	100
	Fire safety training	July 2021	Related Employees	110
	Occupational disease prevention and control training	May, November 2021	New and related department employees	150
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	None			
Implementation of E&S corrective action plan.	No corrective action plan is required.			

Appendix 11 E&S Monitoring Report-Hemo

Company Name	Hemo (China) Bioengineering Co., Ltd.		
Main business	Medical device research and development, production and sales		
Address	3rd Floor, Block A, Innovation and Entrepreneurship Base, No. 213, Torch Road, Weihai High-tech Zone, Shandong		
Website / Homepage	www.hemochina.com		
Management staff responsible for environmental and social affairs	Name: Xia Jungang Title: Director of Public Affairs		
Prepared by	Name: Zhao Shun Yong Position: Project Manager Contact: 15662373030		
Reporting Period	1 Jan-31 Dec, 2021		
Number of employees		Male	Female
	permanent Staff	30	73
	Outsourced	0	0
	Management	13	9
New jobs created during the reporting period	52		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Carry out stroke science popularization activities to enhance community residents' awareness of stroke prevention and treatment and contribute to reducing stroke disability and fatality rates.		
Whether major environmental and safety accidents/incidents	None		

have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan				
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	No. of participats
	Clean area environmental testing	2021.08.20	Scientific Research Department, Production Department, Quality Inspection Center	20
	Fire safety	2021.08.27	All employees of the company	80
	Emergency rescue	2021.09.03	Line management and engineers	30
Please confirm that the company does not	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			

<p>employ child labor and forced labor</p>	
<p>Hazardous waste</p>	<p>Category: acetic acid, perchloric acid Yield: acetic acid 5L, perchloric acid 9L Name of disposal unit: Weihai Environmental Protection Technology Service Co., Ltd. Photos of the company's temporary storage site:</p> 
<p>Implementation of E&S corrective action plan:</p> <ol style="list-style-type: none"> 1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIB's Project-affected People's Mechanism within 90 days from receiving the recommended corrective action plan from LC. 2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 	<ol style="list-style-type: none"> 1. The company intends to set up a link on the official website of the company to provide contact information for public complaints. As the company is currently in a sensitive period for listing, the official website is temporarily closed. When the official website re-open, the access of external GRM will be disclosed on the website. 2. An employment GRM was established and released in August 2021. 3. Weihai Environmental Protection Technology Service Co., Ltd was contracted to handle the hazardous waste.

<p>days receiving the recommended corrective action plan from LC.</p> <p>3. Contract a licensed third party to handle the hazardous waste in line with the national laws and regulations once it generates hazardous waste.</p>	
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Appendix 12 E&S Monitoring Report-Exegensis





Company Name	Exegensis Bio Inc.		
Main business	Biomedicine and precision treatment		
Address	Room 1-604, 291 Fucheng Road, Xiasha street, Hangzhou Economic and Technological Development Zone, Zhejiang Province		
Website / Homepage	www.exegensisbio.com		
Management staff responsible for environmental and social affairs	Name: Liang Yu Position: Executive Director of Operations		
Prepared by	Name: Wang Hongxia Position: EHS Engineer Contact: 17326000800		
Reporting Period	1 Jan-31 Dec, 2021		
Number of employees		Male	Female
	permanent Staff	44	42
	Outsourced	0	0
	Management	6	3
New jobs created during the reporting period	None		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	None		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if	None		

so, please briefly explain the causes of the accidents and the treatment plan				
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	Training Topics	Time	Training Target	No. of participants
	Safety production law, responsibility, and environmental management	2021.1.22	Employee	17
		2021.06.10	Employee	18
	Occupational health and safety	2021.06.05	Employee	30
	Fire drill	2021.06.28	Employee	37
Please describe the environmental, health and safety training and fire drills organized during this reporting period	None			
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	Category: 900-041-49 (hazardous chemical waste packaging materials, waste R&D materials) 900-047-49 (culture waste, waste samples, R&D waste) Production: 11.715 tons			


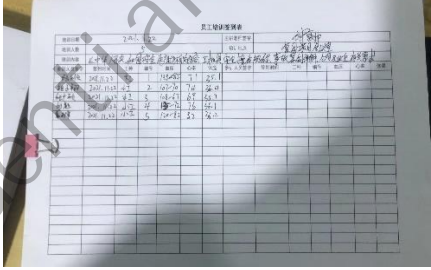
	<p>Name of disposal unit: Huzhou Weineng Environmental Service Co., Ltd.</p> <p>Photos of the company's temporary storage site:</p> 
<p>Implementation of E&S corrective action plan:</p> <p>1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p> <p>2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC.</p> <p>3. continue to comply with the regulatory requirements and submit annual E&S report in the agreed</p>	<p>1. Internal channels for employee grievance feedback have been opened, and a section on grievance mechanisms in the employee handbook will be added in first quarter of 2022.</p>  <p>2. External grievance channels have been opened and disclosed for some projects in the previous period, and a formal and regular grievance mechanism will be established in the first quarter of 2022 and will be publicized on the company's official website and corporate bulletin board.</p>  <p>3. The E&S report was provided to LC in January 2022.</p>

format to LC by end of January each year.	
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction.</p> <p>Construction content: Hangzhou Fuyin Biotechnology Co., Ltd. gene therapy drug phase II construction project (including advanced projects) Start of construction: August 2021 Expected completion date: March 2022</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>The EIA was approved by Hangzhou Ecology and Environment Bureau in July 2021.</p>
<p>3. Number of construction workers during peak period: 155</p>
<p>4. Is there a construction camp on site, if so, please provide photos of the construction camp?</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <div style="display: flex; justify-content: space-around;">    </div>
<p>5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts.</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>6. Please confirm whether the contractor has developed a construction site environmental management plan.</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> 

8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)
 Yes No

9. Are real-time monitoring facilities for noise, PM₁₀ and PM_{2.5} installed and connected to the local government's control center?
 Yes No

10. Whether the noise, PM₁₀ and PM_{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken
 Yes No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?
 Yes No

Appendix 13 E&S Monitoring Report-Lynk Pharma

Company Name	Lynk Pharmaceutical (Hangzhou) Co., Ltd.		
Main business	Medicine drug research		
Address	Room 402, Building 5, No. 291, Fucheng Road, Qiantang New District, Hangzhou		
Website / Homepage	https://www.lynkpharma.com/		
Management staff responsible for environmental and social affairs	Name: Wu Jingyu Position: Deputy Director of R&D and Head of EHS		
Prepared by	Name: Wu Jingyu Position: Deputy Director of R&D and Head of EHS Contact: 13917838074		
Reporting Period	1 Jan-31 Dec, 2021		
Number of employees		Male	Female
	permanent Staff	27	18
	Outsourced	0	0
	Management	7	3
New jobs created during the reporting period	0		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		

<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	<p>None</p>
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>None</p>
<p>Please confirm that the company does not employ child labor and forced labor</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>Hazardous waste</p>	<p>Category: Laboratory Waste Liquid (HW49 900-047-49) Yield: 2.5 tons Name of disposal unit: Hangzhou Lijia Environmental Service Co., Ltd. Photos of the company's temporary storage site:</p> 
<p>Implementation of E&S corrective action plan: 4. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 5. Purchase spill containment trays and place the waste liquid container on the spill containment trays to prevent accidental spills within 90 days receiving the recommended corrective action plan from LC. 6. Develop annual EHS training program within 90 days receiving the recommended corrective action plan</p>	<p>4. On-going. The external GRM is expected to be established in the first quarter of 2022. 5. Trays are provided on site. 6. On-going. The annual training plan is expected to be approved by the management in the first quarter of 2022. 7. On-going. The external GRM is expected to be established in the first quarter of 2022.</p>

<p>from LC and provided adequate trainings on EHS, especially on chemicals management and emergency preparedness to its staff every year.</p> <p>7. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.</p> <p>8. Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.</p>	<p>8. The E&S monitoring report was provided to LC in January 2022.</p>
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Appendix 14 E&S Monitoring Report-ForQaly

Company Name	ForQaly Medical Devices (Shanghai) Co., Ltd.		
Main business	Ventricular assist system product development and manufacture		
Address	No. 36, Lane 100, Banxia Road, Pudong New Area, Shanghai		
Website / Homepage	www.forqaly.com		
Management staff responsible for environmental and social affairs	Name: Shi Xuejiao Position: Production Manager		
Prepared by	Name: Zhang Jie Position: Assistant to General Manager Contact: 13585716290		
Reporting Period	1 Jan-31 Dec, 2021		
Number of employees		Male	Women
	Permanent Staff	32	33
	Outsourced staff	4	
	Management	3	8
New jobs created during the reporting period	33		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

related laws and regulations.	
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received	None

<p>during the reporting period and indicate how they were resolved and whether any remain unresolved</p>				
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None			
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>Training Topics</p>	<p>Time</p>	<p>Training Target</p>	<p>No. of participants</p>
	<p>Chemical Safety Training</p>	<p>2021/4/13</p>	<p>Production Department</p>	<p>1</p>
	<p>Chemical life cycle, chemical classification, microbiological safety, chemical emergency response</p>	<p>2021/4/28</p>	<p>Production, Quality & Compliance, Product</p>	<p>8</p>
	<p>Anti-Telecommunication Fraud</p>	<p>2021/5/19</p>	<p>Heads of Departments</p>	<p>11</p>
	<p>Production Safety Month & World Environment Day Promotion</p>	<p>2021/6/1</p>	<p>Company-wide staff</p>	<p>40</p>
	<p>Occupational Health and Safety and Environmental Management Manual</p>	<p>2021/6/25</p>	<p>Company-wide staff</p>	<p>34</p>

	Chemical Warehouse Drill	2021/6/30	Company-wide staff	40
	Chemical management system, exhaust gas management system training	2021/7/14	Company-wide staff	20
	Electrical accident training	2021/7/30	Company-wide staff	37
	Fire accident case training	2021/8/13	Company-wide staff	32
	Hazardous sources, environmental factors pipeline system	2021/8/19	Company-wide staff	33
	EHS management system documentation training	2021/8/25	Company-wide staff	29
	New Production Safety Law Promotion Training	2021/9/15	Production Department	2
	Automatic defibrillator and first aid knowledge	2021/10/21	Company-wide staff	37
	Fire safety training	2021/11/1	Company-wide staff	32
	Emergency Response Team Internal Exercise Simulation	2021/11/3	Emergency Response Team	15
	Fire Drill	2021/11/9	Company-wide staff	59
	New Production Safety Law Promotion Training	2021/12/8	Company-wide staff	29
	Law and order awareness training	2021/12/17	Quality and Compliance Department, Product Department, Production Department, Human Resources and Administration Department	9
	Pudong New Area 2022 First Quarter Hazardous Waste Environmental	2021/12/22	Production Department	1

	Management Arrangement Training Meeting																		
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No																		
Hazardous waste	Shanghai Changying Environmental Service Company was engaged to handle the hazardous waste.																		
	<table border="1"> <thead> <tr> <th>Hazardous waste category code</th> <th>Hazardous waste name</th> <th>Weight kg</th> </tr> </thead> <tbody> <tr> <td>900-041-49</td> <td>Activated Carbon</td> <td>5.2</td> </tr> <tr> <td>900-047-49</td> <td>Laboratory contaminated solid waste</td> <td>114.242</td> </tr> <tr> <td>900-047-49</td> <td>Laboratory waste liquid</td> <td>53.5</td> </tr> <tr> <td>900-006-09</td> <td>Waste cutting fluid</td> <td>20</td> </tr> </tbody> </table>				Hazardous waste category code	Hazardous waste name	Weight kg	900-041-49	Activated Carbon	5.2	900-047-49	Laboratory contaminated solid waste	114.242	900-047-49	Laboratory waste liquid	53.5	900-006-09	Waste cutting fluid	20
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900-006-09	Waste cutting fluid	20																	
	Photos of the company's temporary storage site.																		
																			
Implementation of E&S corrective action plan:	<ol style="list-style-type: none"> 1. On-going. The external GRM is expected to be established by then end of first quarter of 2022. 2. On-going. The employee GRM is expected to be established by then end of first quarter of 2022. 3. The certificate of Shanghai Changying Environmental Service Company renewed to 25 Dec 2024. 4. The E&S report was provided to LC in January 2022. 																		
3. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances																			

<p>channel to the public, including AIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p> <p>4. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.</p> <p>5. Keep LC informed when environmental permits are renewed;</p> <p>6. Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.</p>	
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Appendix 15 E&S Monitoring Report-HCSci

Company Name	Chengdu Hanchen Guangyi Technology Co., Ltd.		
Main business	R&D, manufacturing, sales and after-sales service of life science and technology equipment, consumables, reagent automation and intelligent solutions		
Address	9th Floor, Building D1, Tianfu International Biological City, No. 18, Section 2, Biocity Middle Road, Shuangliu District, Chengdu, Sichuan Province		
Website / Homepage	www.hcsci.com		
Management staff responsible for environmental and social affairs	Name: Yang Jingzhong Position: Head of Operations Department		
Prepared by	Name: Mao Yan Position: Head of Legal Department Contact: 028-62695876		
Reporting Period	1 Jan-31Dec, 2021		
Number of employees		Male	Female
	permanent Staff	127	62
	Outsourced	8	0
	Management	6	6
New jobs created during the reporting period	118		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and	None		

indicate how they were resolved and whether any remain unresolved													
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None												
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None												
Please describe the environmental, health and safety training and fire drills organized during this reporting period	<table border="1"> <thead> <tr> <th>Training Topics</th> <th>Time</th> <th>Training Target</th> <th>No. of participants</th> </tr> </thead> <tbody> <tr> <td>Hazardous chemical management</td> <td>Sep 2021</td> <td>QA department, warehouse keeper</td> <td>4</td> </tr> <tr> <td>Autoclave Vessel Training</td> <td>Nov 2021</td> <td>QA department</td> <td>6</td> </tr> </tbody> </table>	Training Topics	Time	Training Target	No. of participants	Hazardous chemical management	Sep 2021	QA department, warehouse keeper	4	Autoclave Vessel Training	Nov 2021	QA department	6
	Training Topics	Time	Training Target	No. of participants									
	Hazardous chemical management	Sep 2021	QA department, warehouse keeper	4									
Autoclave Vessel Training	Nov 2021	QA department	6										
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No												
Hazardous waste	Category: HW06, HW49 Name of disposal unit: Chengdu Xingrong Environmental Protection Technology Co., Ltd.												
Implementation of E&S corrective action plan: 1. report to LC the progress of EHS system development and provide relevant documents as requested; 2. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each calendar year. 3. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC.	<ol style="list-style-type: none"> The EHS system has been formulated for the management of hazardous chemicals and explosive chemicals. A training plan on EHS for 2022 has been drawn up. More EHS staff will be recruited in 2022. The E&S report was provided to LC in January 2021. The employee grievance mechanism has been improved, and the employee grievance channels have been supplemented and updated in the employee handbook. The external GRM has been established and the access channel is disclosed on the company website. 												

<p>4. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p>	
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