



LC HEALTH CARE FUND II, L.P.

2nd Environmental and Social Performance Report
Reporting Period: January 1, 2022-December 31, 2022
Report Completion: June 2023

ANNUAL ENVIRONMENTAL AND SOCIAL PERFORMANCE MONITORING REPORT

Prepared by Legend Capital for Asian Infrastructure Investment Bank

Abbreviations

AIIB	-	Asian Infrastructure Investment Bank
EHS	-	Environmental, health and safety
ESMS	-	Environmental and social management system
ESG	-	Environmental, social, governance
GRM	-	Grievance Redress Mechanism
HC	-	Health care
IC	-	Investment committee
LC	-	Legend capital
R&D	-	Research and development

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This is the 2nd annual environmental and social performance monitoring report (AESPR) presenting the status of environmental and social management system (ESMS) implementation for LC Health Care Fund (hereafter “LC HC II”) from 1 January 2022 to 31 December 2022.

I. ESPR Preparer

Report prepared by: Li Yong, ESMS manager of LC Health Care Fund II.
E-mail: liyong@legendcapital.com.cn

Signature:

Report Date: June 2023

II. Organizational Structure and Implementation of Environmental and Social Management Systems

The current version of the ESMS was issued in 2020 and no updating since then. The organizational structure and responsibilities are elaborated in the Table 1. This ESMS arrangement functioned well and no changes as end of 2022.

Table 1 ESMS Implementation Arrangement

Role	Composition and Responsibilities
ESG Committee	<p>The highest decision-making body for ESG management, chaired by the Firm’s President.</p> <p>Committee Members: Chief Investment Officer, Chief Operating Officer, Managing Directors & heads of the fund teams, General Legal Counsel, and Managing Director in charge of the management consultant tea.</p> <p>Responsibilities of ESG Committee:</p> <ul style="list-style-type: none"> - Formulate medium and long-term strategy plans for ESG investing. - Approve the polices, systems and procedures with regards to ESG investing. - Guide and supervise the work of ESG Working Group.
Investment Committee (“IC”) of LC HC II:	<p>The IC of LC HC II is composed for the firm’s President and the Managing Directors of LC HC II. The IC will be responsible for reviewing the screening and due diligence results in relation to E&S.</p>
ESG Working Group	<p>Execution body for ESG management, led by Managing Director in charge of management consultant team.</p> <p>Group Leader: Managing Director in charge of the management consultant team.</p>

	<p>Group Members: ESG coordinators from the fund teams, IR team, legal team, management consultant and financial consultant teams</p> <p>Responsibilities of ESG Working Group</p> <ul style="list-style-type: none"> - Provide decision-making support for the medium and long-term strategy plans in ESG investing management - Provide specialized support to formulate ESG-related policies, systems and procedures - Plan, organize and implement ESG-related research and knowledge management activities - Implement and promote ESG related investing policies and monitor the relevant processes - Fulfil LPs' requirements on ESG surveys and related information disclosure - Work with PRI to implement information disclosure and other requirements.
<p>ESMS Manager of LC HC Fund II</p>	<p>The ESMS Manager will oversee the implementation of the ESMS and ensure that these procedures are integrated with LC HC II's business processes for evaluating a project's financial risks.</p> <p>The responsibilities of the ESMS Manager include:</p> <ul style="list-style-type: none"> • Cooperate with ESMS coordinator to supervise the implementation of ESMS; • Periodically review the operational difficulties or issues arising from ESMS implementation, arrange meetings to propose solutions, and report to ESG Committee for decision making when necessary; • Prepare the Annual Environmental & Social Performance Report to AIIB based on the annual performance reports provided by its investee companies. <p>LC HC II will also ensure that the AIIB is notified if and when the ESMS Manager leaves that position, and will provide the AIIB the name of the new ESMS Manager.</p> <p>The ESMS Manager will maintain a file of qualified environmental and social consultants who can be called upon to assist in conducting environmental and social reviews.</p>
<p>ESMS Coordinator</p>	<ul style="list-style-type: none"> • Evaluate environmental compliance of potential investee company with applicable requirements during due diligence, such as site visits, collection of necessary E&S documentation, and prepare E&S due diligence reports.

	<ul style="list-style-type: none"> Supervise portfolio project's on-going compliance with the applicable requirements on a regular basis, which may include: <ul style="list-style-type: none"> Conducting site visits, monitoring the implementation of E&S action plan (if any) by the investee company, reviewing investee company's annual reports, and recording investee company's E&S on-going performance. Resolving E&S issues in case of non-compliance, and where needed, preparing a time-bound correction action plan with specific follow-up procedures.
Project manager	<ul style="list-style-type: none"> Conduct initial E&S risk screening during project identification stage; Provide necessary support ESMS manager
Legal Department	<ul style="list-style-type: none"> Ensures that LC HC Fund II's environmental and social requirements are incorporated in legal agreements for each transaction. Advise if a investee's non-compliance with environmental and social clauses constitutes a breach of contract and is considered an Event of Default under the terms of the legal agreement that requires follow-up by Senior Management.

Table 2 gives contacts of key persons holding responsibility for environmental and social performance.

Table 2: Deployment of Environment and Social Management Staff




Name	Position	Contact
Wang Yan	Director of Brand and Public Relations	wangyan@legendcapital.com.cn
Li Yong	Chief Management Consultant/ESMS Manager	liyong@legendcapital.com.cn
Liu Yaxu	Investment analyst/ESMS coordinator	liuyx@legendcapital.com.cn

Due to the impact of COVID-19, no ESMS specific training was conducted in 2022. It is planned to engage external ESG experts to conduct ESG specific training in the Q2 of 2023. It will consist of interactive workshops and seminars. The focus of this training will be on enhancing our team's ability in integrating ESG considerations into investment decisions, with special emphasis on sustainable business practices, environmental impact, social responsibility, and ethical governance. The targeted trainees include senior management, investment analysts, and portfolio managers, ensuring that key decision-makers are well-equipped with ESG knowledge. Detailed records of the training, including schedule, content, and participant feedback will be maintained and included in the next annual monitoring report.

III. Summary of Current Operations

AIIB fund has been allocated to 15 investee companies in 2020 (Table 3) and no new invested companies in this reporting period. Environmental and social due diligence (ESDD) has been carried out for all the 15 investee companies prior to the investment. The approved investee companies are categorized as Category B (93%) and Category C (7%).

Table 3: Summary of the Investee Companies AIIB Funded

S/N	Company Name	Chinese Name	Logo	Business activity	E&S Category	Any significant environmental and social event ¹ during the reporting period? If yes, please specify	Please list any effective grievance or dispute (including court action) regarding land acquisition, resettlement, indigenous, labor, or environmental complaints received during 2022
1	Aidite	爱迪特	 Aidite 爱迪特	Manufacturing of dental device and equipment	B	None	None
2	Birdo	都创	 BirdoTech	CRO, CDMO and Molecular Blocks	B	None	None
3	Biotheus	普米斯	 BIOTHEUS 普米斯生物技术	biomedicine and precision treatment	B	None	None

¹ Examples of significant incidents such as: chemical and/or hydrocarbon materials spills; fire, explosion of unplanned releases, including during transportation; ecological damage/destruction; local population impact, complaint or protest; failure of emissions or effluent treatment; legal/administrative notice of violation; penalties, fines or increase in pollution charges; negative media attention; chance cultural finds; labor unrest or disputes; local community concerns, or NGO/CSO concerns. Also include information if there are any additional land acquisitions and resettlement impacts.

4	Bioheart	百心安	 Bioheart 百心安	R&D, and manufacturing of cardiovascular device	B	None	None
5	Biomap	百图生科	 BioMap	Information technology and biotechnology	B	None	None
6	dMed	缔脉	 dMed 缔脉	Consulting services	C	None	None
7	Innostellar	朗信	 Innostellar Biotherapeutics 朗信生物	Biomedicine and precision therapy	B	None	None
8	Bondent	博恩登特	 Bondent® 博恩登特	Dental device manufacturing and service	B	None	None
9	Sciwind	先为达	 Sciwind 先为达生物	Development of new biomolecules in metabolic diseases	B	None	None
10	Star Sports	天星	 STAR 北京天星	Sports medicine medical device production, research and development, sales	B	None	None
11	Hemo	禾木	 HEMO	Medical device research and development, production and sales	B	None	None

12	Exegensis	嘉因	 EXEGENESIS BIO	Biomedicine and precision treatment	B	None	None
13	Lynk Pharma	凌科	 LYNK PHARMACEUTICALS	Medicine drug research	B	None	None
14	ForQaly	丰凯	 丰凯医疗 FORQALY	Ventricular assist system product development and manufacture	B	None	None
15	HCSci	瀚辰光翼	 HCSCI 瀚辰光翼	R&D, manufacturing, sales and after-sales service of life science and technology equipment, consumables, reagent automation and intelligent solutions	B	None	None

IV. Stakeholder Engagement and Grievance Redress

LC HC Fund II has established an external communication mechanism and a dedicated mailbox (grm@legendcapital.com.cn) to receive complaints, which was published on the website of Legend Capital (https://www.legendcapital.com.cn/common_en/index.aspx?nodeid=57). As of the end of this reporting period, no complaints or grievances have been received. The portfolio information of LC HC Fund II was also disclosed on the website (11.pdf (legendcapital.com.cn))

V. Gaps and Corrective Measures

No corrective actions were required at fund level during this reporting period.

At investee company level, during the pre-investment environmental and social due diligence, some gaps against the ESMS of LC HC Fund II were identified. Afterwards, LC put forward a time-limited improvement suggestion to the investee companies. Most of the deficiencies have been corrected in 2021 (see the 1st AESPMR). The remaining areas for improvement are shown in the table below. LC ESMS team will continue to follow up and report to the AIIB in the next annual report.

Table 4: Corrective Actions for Investee Companies

SN	Company Name	Corrective actions required	Timeframe
1	Aidite	None	N/A
2	Birdo	<p>1) provide necessary project information to LC and assist LC conduct ESDD for the proposed Shandong plant once the information is available. Birdo shall take corrective actions if any non-compliance is identified during the ESDD.</p> <p>2) Incorporate the clauses related to minimum space, supply of water, adequate sewage and garbage disposal system, appropriate protection against heat, cold, damp, noise, fire and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities natural and artificial lighting, as relevant basic medical services, freedom of movement and of association and good housekeeping into the civil work contract for the new plants.</p> <p>3) disclose the EIA of Shandong Plant on the website of Birdo when it is ready;</p> <p>4) conduct consultation and information disclosure with nearby community and other relevant stakeholders for the Chongqing Plant and Shandong Plant prior to commencement of construction.</p> <p>5) LC will conduct follow-up semi-annual E&S compliance auditing during the construction of Chongqing Plant and Shandong Plant and report the status in the annual E&S performance monitoring report to AIIB.</p>	<p>1) Not applicable. Birdo has cancelled the Shandong plant project.</p> <p>2) Done. Relevant clauses are incorporated into the civil work contract of Chongqing plant.</p> <p>3) Not applicable. Birdo has cancelled the Shandong plant project.</p> <p>4) Done. No community in the surrounding. Project information has been disclosed on the entrance of the construction site of Chongqing plant.</p> <p>5) Semi-annual on-site E&S compliance auditing was not conducted due to the COVID-19 travel restrictions in 2022. Instead, Birdo provided annual E&S performance report for the Chongqing plant as seen in Appendix 2.</p>
3	Biotheus	establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days from receiving the corrective action plan from LC.	Done. See appendix 3.

4	Bioheart	None	N/A
5	Biomap	<p>1) establish formal GRM form employees and external stakeholders with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.</p> <p>2) set up EHS related department and establish EHS system covering bio-safety, emergency response, chemical management, waste disposal etc. and provide adequate trainings to the staff once the labs are in use.</p>	<p>1) Done. See Appendix 5.</p> <p>2) Done. See the training records in Appendix 5.</p>
6	dMed	None	N/A
7	Sciwind	<p>1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the R&D center, which is expected to be in May 2021.</p> <p>2) SciWind shall develop training plan and organize adequate trainings on EHS to the staff prior to operation, which is expected to be in May 2021.</p> <p>3) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p> <p>4) Establish employee GRM procedures within 90 days from receiving the recommended corrective action plan from LC.</p>	<p>1) Done. See Appendix 7.</p> <p>2) Done. See Appendix 7.</p> <p>3) On-going. It is expected that the external GRM will be established in the first quarter of 2023.</p> <p>4) On-going. It is expected that the employee GRM will be established in the first quarter of 2023.</p>
8	Innostellar	None	N/A

9	Bondent	None	N/A
10	Star Sports	None	N/A
11	Hemo	Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the recommended corrective action plan from LC.	After the company is listed.
12	Exegenesis	Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.	Q1 of 2022
13	Lynk Pharma	<p>1) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p> <p>2) Purchase spill containment trays and place the waste liquid container on the spill containment trays to prevent accidental spills within 90 days receiving the recommended corrective action plan from LC.</p> <p>3) Develop annual EHS training program within 90 days receiving the recommended corrective action plan from LC and provided adequate trainings on EHS, especially on chemicals management and emergency preparedness to its staff every year.</p> <p>4) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.</p>	<p>1) On-going. The external GRM is expected to be established in the first quarter of 2023.</p> <p>2) Done. Trays are provided on site.</p> <p>3) Done. See the training records.</p> <p>4) On-going. The internal GRM is expected to be established in the first quarter of 2023.</p>
14	ForQaly	1) establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's	1) On-going. The external GRM is expected to be established in the first quarter of 2023.

		Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC. 2) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.	2) On-going. The internal GRM is expected to be established in the first quarter of 2023.
15	HCSci	None	N/A

Appendix 1 E&S Monitoring Report-Aidite

Company Name	Aidite (Qinhuangdao) Technology Co.		
Main business	Zirconia, glass ceramic, resin and other dental materials, dental digital solutions and services		
Address	No 9. Dushan Road, Economic and Technological Development Zone, Qinhuangdao City, Hebei Province, China		
Website / Homepage	https://www.aidite.com/		
Management staff responsible for environmental and social affairs	Name: Xie Wandong Position: Vice President		
Prepared by	Name: Zhu Menghan Contact information.18833507952		
Reporting Period	Current Period (Jan 1-Dec 31, 2022)		
Number of employees statistics		Male	Female
	Permanent staff	339	250
	Outsourced employees	0	0
	Management	46	19
	Total	385	269
New jobs created during the reporting period	134		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No There was no economic retrenchment in the reporting period. 4 employees were dismissed due to incompetence. One month's salary has been paid to these four employees as compensation in accordance with the Labor Contract Law.		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this	None		


<p>reporting period, if so, please briefly explain the causes of the accidents and the treatment plan</p>				
<p>Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None			
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>Training Topics</p>	<p>Time</p>	<p>Training Target</p>	<p>Number of participants</p>
	<p>Annual production safety re-education, use of personal protective equipment (PPE), occupational health and safety.</p>	<p>Feb 2022</p>	<p>All staff</p>	<p>470</p>
	<p>Safe production</p>	<p>March 2022</p>	<p>All staff</p>	<p>470</p>

	Emergency response and drill	May 2022	All staff	470
	Safe production	June 2022	All staff	470
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	<p>Category: waste activated carbon. Generation: 0.36 tons of waste activated carbon. Contracted hazardous waste disposal company: Qinhuangdao Xusankou Hazardous Waste Treatment Co. Photos of the company's temporary storage site:</p> 			
Implementation of E&S corrective action plan	No corrective action required.			

Appendix 2 E&S Monitoring Report-Birdo

Company Name	Birdo (Shanghai) Pharmaceutical Technology Co.		
Main business	CRO, CDMO and Molecular Blocks		
Address	Room 402-A, Building 1, No.1199 Indigo Road, Pudong New Area, Shanghai		
Website / Homepage	https://www.birdotech.com/zh		
Management staff responsible for environmental and social affairs	Name: Wang Shufa Position: Secretary of the Board of Directors		
Prepared by	Name: Wang Shufa Position: Secretary of the Board of Directors Contact: shufa.wang@birdotech.com		
Reporting Period	1 January 2022-31 December 2022		
Number of employees		Male	Female
	Permanent staff	407	203
	Outsourced employees	None	None
	Management	94	26
New jobs created during the reporting period	93		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly	None		

explain the causes of the accidents and the treatment plan				
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Theme	Time	Who to train	See Number of people
	Lab bottle washing safety-related considerations	2022-02	Bottle washer	3
	New safety law, new solid waste law	2022-03	R&d full staff/support department staff	68
	Environmental protection basic knowledge training	2022-03	Full R&D staff	36
	Safety prevention training after the resumption of work	2022-06	Full R&D staff	49
	Factory Fire Education Warning film watch and learn	2022-07	Full craftspeople	26
	Factory Fire Education Warning film watch and learn	2022-07	Full staff of pharmacy and logistics support department	26
	Environmental emergency drill	2022-8	Persons concerned	10

	Fire drill	2022-9	All hands	144
	Hazardous chemical/Hazardous Waste Spill emergency drill + Mail tidbits	2022-09	Research and development personnel	15
	Lab safety Training	2022-10	Pharmacy/Craft/Quality	47
	Safety, environmental protection, occupational health management knowledge, accident case sharing, laboratory equipment spot inspection and other safety related systems	2022-10	Full R&D staff	70
	Security risk identification and hierarchical control system training	2022-10	Personnel from all departments	175
	Fire evacuation drill	2022-11	Personnel in all departments	189
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	<p><u>Birdo (Shanghai) Pharmaceutical Technology Co., Ltd</u> Category: Laboratory waste liquid, hazardous solid waste and waste activated carbon Yield: 47.073t, 11.382 t and 2.1 t respectively Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co., Ltd, Shaanxi Shuifa Environment Co. Photos of the company's temporary storage on site:</p> 			

Birdo Shanghai) Pharmaceutical Development Co., Ltd

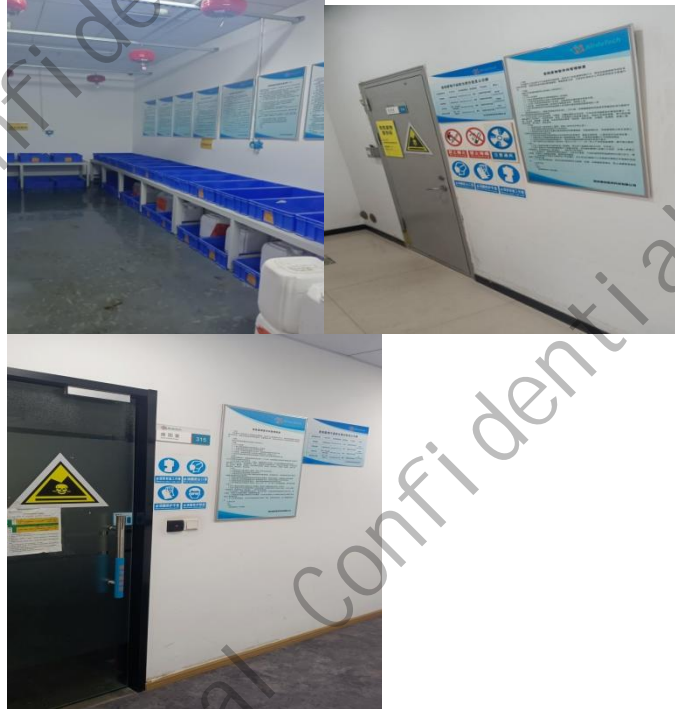
Category: Laboratory liquid waste, Laboratory waste (900-047-49), waste chromatographic column (900-041-49), waste chemical packaging (900-041-49), waste mineral oil (900-249-08), waste activated carbon (900-039-49)

Yield: 202.1845t

Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co., Ltd
Photos of the company's temporary storage on site:



Xi'an Birdo Medical Technology Co, Ltd
 Category: Liquid waste (900-047-49), solid waste (900-47-49), waste activated carbon (900-041-49)
 Yield: 141.485/14.56/0.78t
 Contracted company for hazardous waste disposal: Shaanxi Shuifa Environment Co, Ltd
 Photos of the company's temporary storage on site:



Birdo (Chongqing) Pharmaceutical Technology Co., Ltd


Category: R&D waste Liquid (900-047-49)/Laboratory contamination (900-047-49)/R&D waste product (900-047-49)/Reagent Bottle (900-047-49)/waste activated carbon (900-049-49)
 Yield: 75.59 t/t / 2.04865 4.2176 3.01875 0.242 t/t
 Contracted company for hazardous waste disposal: Chongqing Zhongsi Runhe Environmental Protection Technology Co., Ltd/Chongqing Zhongming Gangqiao Environmental Protection Co., Ltd
 Photos of the company's temporary storage on site:

序号	废物名称	来源	产生环节	危险废物	去向	责任人
1	实验室废水 清洗废水	HW49	实验室	废酸废碱、废液、废油	外单位处置	环保
2	危险废物产品	HW49	实验室	药液、废药片、废药粉、废药渣	外单位处置	环保
3	实验废弃物	HW49	实验室	废试剂	外单位处置	环保
4	实验室废弃物	HW49	实验室、化验室	废试剂、废液、废渣	外单位处置	环保
5	实验室废弃物	HW49	实验室	废试剂、废液、废渣	外单位处置	环保

电话: 010-15128187833

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    流程图: 危险废物管理流程图
    第一责任人: 许智勇
    EHS: 杨勇
    实验室负责人
    危险废物 → 危险废物管理 → EHS → 实验室
    
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<p>Implementation of E&S corrective action plan:</p> <ol style="list-style-type: none"> 1) Establish dedicated GRM for the construction of new plants before the commencement of construction and ensure the workers of contractor also have access to the GRM. 2) Disclose the EIA of Chongqing plant on the website of Birdo by 30 September 2021. 3) provide necessary project information to LC and assist LC conduct ESDD for the proposed Shandong plant once the information is available. Birdo shall take corrective actions if any non-compliance is identified during the ESDD. 4) Incorporate the clauses related to minimum space, supply of water, adequate sewage and garbage disposal system, 	<ol style="list-style-type: none"> 1) Done. GRM has established and the grievance channel was disclosed on the company website: https://www.birdotech.com/en/contact. 2) Done. The EIA was approved by Chongqing Municipal Ecology and Environment Bureau in July 2021. The full EIA was disclosed on: http://sthjj.cq.gov.cn. 3) Not applicable. Birdo cancelled the Shandong plant project in 2022. 4) Done. EHS clauses have been incorporated into the civil work contract of Chongqing plant.

<p>appropriate protection against heat, cold, damp, noise, fire and disease-carrying animals, adequate sanitary and washing facilities, ventilation, cooking and storage facilities natural and artificial lighting, as relevant basic medical services, freedom of movement and of association and good housekeeping into the civil work contract for the new plants.</p> <p>5) disclose the EIA of Shandong Plant on the website of Birdo when it is ready;</p> <p>6) conduct consultation and information disclosure with nearby community and other relevant stakeholders for the Chongqing Plant and Shandong Plant prior to commencement of construction.</p> <p>7) continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC.</p> <p>LC will conduct follow-up semi-annual E&S compliance auditing during the construction of</p>	<p>5) Not applicable. Birdo cancelled the Shandong plant project in 2022.</p> <p>6) Done. For Chongqing plant: there is no residents or other plants in the surrounding. Two rounds of information disclosure and public consultation have been done in the EIA process. Project information was disclosed on Chongqing Evening News (19 Jan 2021 and 21 Jan 2021), website of Tongnan District Government (15 Jan 2021 and 9 July 2021) before submission to the Chongqing Ecology and Environment Bureau. The full EIA report was disclosed on the website of Chongqing Ecology and Environment Bureau (21 July 2021). No comments were received during the public notice period. Project information was disclosed on the entrance of the construction site.</p> <p>7) On-going. The E&S report of Birdo was provided to LC in March 2023. Due to travel restrictions caused by COVID-19, LC ESMS team did not conduct E&S compliance auditing on site in 2022 and is planned to conduct site inspection in 2023. Next step work: The findings of site inspection by the LC ESMS team will be documented in the next monitoring report. If there is any significant incident/accident, Birdo shall inform LC and AIIB as soon as possible.</p>
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<p>Chongqing Plant and Shandong Plant and report the status in the annual E&S performance monitoring report to AIIB.</p>	
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction. Project Name: CDMO production base of Birdo Pharmaceutical(Chongqing Plant); Construction scale: land area of 66675.34 m², about 100 mu. Construction contents: 3 Class A workshops, 1 hydrogenation workshop, 1 comprehensive building, 1 power workshop, 1 Class A warehouse, 1 Class A 3, 4 warehouse, 1 hazardous waste warehouse (A), 1 Class C warehouse, Class A tank area and supporting loading and unloading area, sewage treatment station, fire pool, accident pool, security guard. Commencement date: January 2022; Estimated completion date: December 2024.</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No The environmental impact assessment was approved by the Chongqing Ecological Environment Bureau on 6 September 2021. The land property certificate was obtained in 26 November 2020.</p>
<p>3. Number of construction workers during peak period: 200.</p>
<p>4. Is there a construction camp on site, if so, please provide photos of the construction camp. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <div style="display: flex; justify-content: space-around;">   </div>
<p>5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>6. Please confirm whether the contractor has developed a construction site environmental management plan. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>

7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)

Yes No

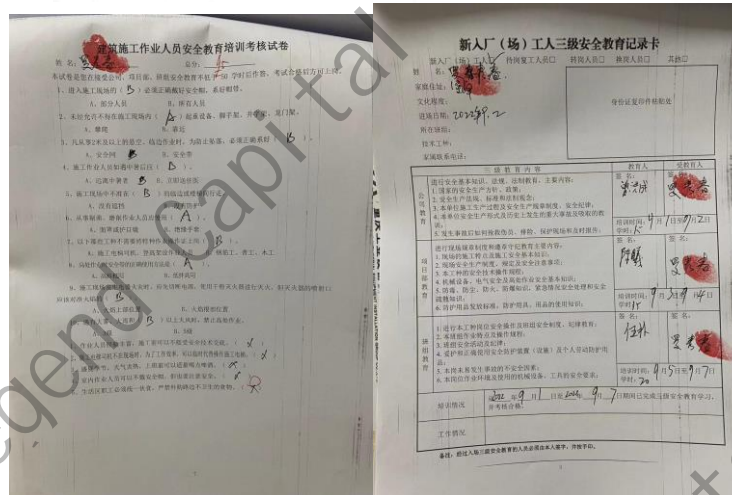
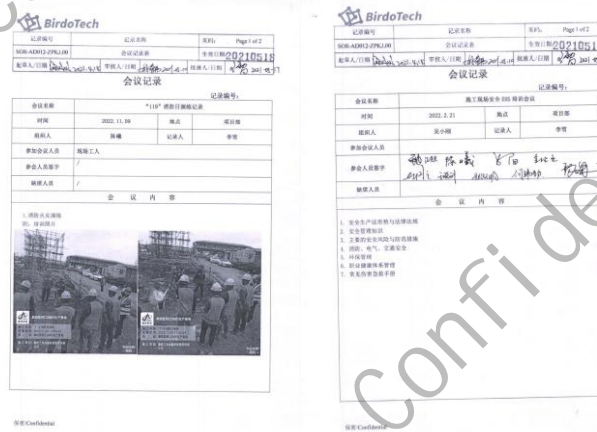


8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

Yes No

SN	Topic	Frequency
1	Three-level safety education and training before work	All workers must complete the training before work. Total of 360 workers trained.
2	Firefighting training	Twice
3	Safety training	Quarterly (4 times)
4	New Safe Production Law	Once

Sample training records:



9. Are real-time monitoring facilities for noise, PM₁₀ and PM_{2.5} installed and connected to the local government's control center?

Yes No

10. Whether the noise, PM₁₀ and PM_{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken


Yes No

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

Yes No

Appendix 3 E&S Monitoring Report-Biotheus

Company Name	Biotheus		
Main business	Mainly engaged in the development and commercialization of innovative biologics, including antibody drugs and cell therapy products		
Address	Headquartered in Zhuhai, Guangdong Province, China		
Website / Homepage	www.biotheus.com		
Management staff responsible for environmental and social affairs	Name: Xu Yingda Position: vice president		
Prepared by	Name: He Bingyan Position: senior director Contact information.13671667349		
Reporting Period	1 Jan to 31 Dec, 2022		
Number of employees		Male	Female
	Permanent staff	113	181
	Outsourced employees	0	0
	Management	49	41
New jobs created during the reporting period	76		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	During the reporting period, arrange employees to participate in the voluntary labor of community nucleic acid testing, and actively publicize virus prevention and control measures to the residents in the community where the company is located.		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the	None		

accidents and the treatment plan													
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None												
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None												
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No												
Please describe the environmental, health and safety training and fire drills organized during this reporting period	<table border="1"> <thead> <tr> <th>Training Topics</th> <th>Time</th> <th>Training Target</th> <th>Number of participants</th> </tr> </thead> <tbody> <tr> <td>EHS awareness training</td> <td>April 2022</td> <td>Staff</td> <td>139</td> </tr> <tr> <td>Firefighting training</td> <td>April 2022</td> <td>Staff</td> <td>150</td> </tr> </tbody> </table>	Training Topics	Time	Training Target	Number of participants	EHS awareness training	April 2022	Staff	139	Firefighting training	April 2022	Staff	150
	Training Topics	Time	Training Target	Number of participants									
	EHS awareness training	April 2022	Staff	139									
Firefighting training	April 2022	Staff	150										
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No												
Hazardous waste	<p>Total of 23.41 tons of hazardous waste from labotory and contracted Nantong Guoqi Environmental Technology Co., Ltd for final disposal. Photos of the company's temporary storage on site:</p> 												

<p>Implementation of E&S corrective action plan:</p> <p>1. keep good records on management of hazardous waste, including amount generated, and duplicate forms for transfer of hazardous waste, and report to LC as part of the annual E&S report.</p> <p>2. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.</p>	<p>1. Done. Hazardous waste management records were provided to LC.</p> <p>Hazardous waste generation record:</p> <table border="1"> <thead> <tr> <th>序号</th> <th>废物名称</th> <th>产生危险废物设施名称</th> <th>产生危险废物设施名称</th> <th>对应产废环节名称</th> <th>废物代码</th> <th>废物类别</th> <th>废物类型</th> <th>本年度预计产生量</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>截留沉淀</td> <td>--</td> <td>--</td> <td>废气处理</td> <td>276-005-02</td> <td>HW02医药废物</td> <td>非生产性产废</td> <td>0.01吨</td> </tr> <tr> <td>2</td> <td>废弃产品</td> <td>--</td> <td>--</td> <td>质检</td> <td>276-005-02</td> <td>HW02医药废物</td> <td>非生产性产废</td> <td>0.5吨</td> </tr> <tr> <td>3</td> <td>废弃物料</td> <td>--</td> <td>--</td> <td>设备维护</td> <td>900-214-08</td> <td>HW08废矿物...</td> <td>非生产性产废</td> <td>0.1吨</td> </tr> <tr> <td>4</td> <td>废水处理污泥</td> <td>--</td> <td>--</td> <td>废水处理</td> <td>772-006-49</td> <td>HW49其他废物</td> <td>非生产性产废</td> <td>8吨</td> </tr> <tr> <td>5</td> <td>活性炭</td> <td>--</td> <td>--</td> <td>废气吸附</td> <td>900-039-49</td> <td>HW49其他废物</td> <td>非生产性产废</td> <td>2吨</td> </tr> <tr> <td>6</td> <td>废膜</td> <td>MF0002</td> <td>层析系统</td> <td>抗体生产</td> <td>900-041-49</td> <td>HW49其他废物</td> <td>生产性产废</td> <td>8吨</td> </tr> <tr> <td>7</td> <td>废层析填料</td> <td>MF0002</td> <td>层析系统</td> <td>抗体生产</td> <td>900-041-49</td> <td>HW49其他废物</td> <td>生产性产废</td> <td>0.3吨</td> </tr> <tr> <td>8</td> <td>废一次性耗材</td> <td>MF0001</td> <td>生物反应器</td> <td>抗体生产</td> <td>900-041-49</td> <td>HW49其他废物</td> <td>生产性产废</td> <td>2吨</td> </tr> <tr> <td>9</td> <td>净化系统反过滤器</td> <td>--</td> <td>--</td> <td>车间净化</td> <td>900-041-49</td> <td>HW49其他废物</td> <td>非生产性产废</td> <td>0.2吨</td> </tr> <tr> <td>10</td> <td>废除菌包装</td> <td>--</td> <td>--</td> <td>菌罐封装物</td> <td>900-041-49</td> <td>HW49其他废物</td> <td>非生产性产废</td> <td>0.3吨</td> </tr> <tr> <td>11</td> <td>反过滤器(含过滤...</td> <td>MF0002</td> <td>层析系统</td> <td>抗体生产</td> <td>900-041-49</td> <td>HW49其他废物</td> <td>生产性产废</td> <td>0.6吨</td> </tr> <tr> <td>12</td> <td>实验室废物</td> <td>--</td> <td>--</td> <td>质检</td> <td>900-047-49</td> <td>HW49其他废物</td> <td>非生产性产废</td> <td>0.7吨</td> </tr> <tr> <td>13</td> <td>废有机溶剂</td> <td>--</td> <td>--</td> <td>质检</td> <td>900-047-49</td> <td>HW49其他废物</td> <td>非生产性产废</td> <td>0.7吨</td> </tr> <tr> <td colspan="8">合计</td> <td>23.41吨</td> </tr> </tbody> </table> <p>2. Done. The E&S report of Biotheus was provided to LC in March2022.</p>	序号	废物名称	产生危险废物设施名称	产生危险废物设施名称	对应产废环节名称	废物代码	废物类别	废物类型	本年度预计产生量	1	截留沉淀	--	--	废气处理	276-005-02	HW02医药废物	非生产性产废	0.01吨	2	废弃产品	--	--	质检	276-005-02	HW02医药废物	非生产性产废	0.5吨	3	废弃物料	--	--	设备维护	900-214-08	HW08废矿物...	非生产性产废	0.1吨	4	废水处理污泥	--	--	废水处理	772-006-49	HW49其他废物	非生产性产废	8吨	5	活性炭	--	--	废气吸附	900-039-49	HW49其他废物	非生产性产废	2吨	6	废膜	MF0002	层析系统	抗体生产	900-041-49	HW49其他废物	生产性产废	8吨	7	废层析填料	MF0002	层析系统	抗体生产	900-041-49	HW49其他废物	生产性产废	0.3吨	8	废一次性耗材	MF0001	生物反应器	抗体生产	900-041-49	HW49其他废物	生产性产废	2吨	9	净化系统反过滤器	--	--	车间净化	900-041-49	HW49其他废物	非生产性产废	0.2吨	10	废除菌包装	--	--	菌罐封装物	900-041-49	HW49其他废物	非生产性产废	0.3吨	11	反过滤器(含过滤...	MF0002	层析系统	抗体生产	900-041-49	HW49其他废物	生产性产废	0.6吨	12	实验室废物	--	--	质检	900-047-49	HW49其他废物	非生产性产废	0.7吨	13	废有机溶剂	--	--	质检	900-047-49	HW49其他废物	非生产性产废	0.7吨	合计								23.41吨
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction.</p> <p>The construction of the R&D building, production building, warehouse, cell therapy and utility station and some ancillary buildings is underway, which was started on June 28, 2021 and is expected to be completed on 31 March 2023.</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>The Environmental Impact Report for the Phase I of Biotheus Innovative Drug Production Project was approved by the Ecological Environment Bureau of Nantong Economic and Technological Development Zone on August 4,2021.</p> <p>The land use right with an area of 53,320.34 square meters was obtained in 7 April 2021.</p>
<p>3. Number of construction workers during peak period: 300.</p>

4. Is there a construction camp on site, if so, please provide photos of the construction camp.

Yes No



5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts.

Yes No

6. Please confirm whether the contractor has developed a construction site environmental management plan.

Yes No

7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)

Yes No



8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)

Yes No


序号	姓名	性别	身份证号	培训日期	培训地点	培训时长	培训科目	培训讲师	培训评价
1	张明	男	32062119800101001X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
2	李强	男	32062119850203002X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
3	王磊	男	32062119880305003X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
4	赵刚	男	32062119820407004X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
5	孙伟	男	32062119870509005X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
6	周志	男	32062119840611006X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
7	吴昊	男	32062119890713007X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
8	郑宇	男	32062119860815008X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
9	冯涛	男	32062119830917009X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
10	陈昊	男	32062119801019010X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
11	林宇	男	32062119871121011X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
12	黄宇	男	32062119841223012X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
13	徐宇	男	32062119811325013X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
14	朱宇	男	32062119881427014X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
15	刘宇	男	32062119851529015X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
16	周宇	男	32062119821631016X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
17	吴宇	男	32062119891733017X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
18	郑宇	男	32062119861835018X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
19	冯宇	男	32062119831937019X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
20	陈宇	男	32062119802039020X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
21	林宇	男	32062119872141021X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
22	黄宇	男	32062119842243022X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
23	徐宇	男	32062119812345023X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
24	朱宇	男	32062119882447024X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
25	刘宇	男	32062119852549025X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
26	周宇	男	32062119822651026X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
27	吴宇	男	32062119892753027X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
28	郑宇	男	32062119862855028X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
29	冯宇	男	32062119832957029X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
30	陈宇	男	32062119803059030X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
31	林宇	男	32062119873161031X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
32	黄宇	男	32062119843263032X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
33	徐宇	男	32062119813365033X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
34	朱宇	男	32062119883467034X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
35	刘宇	男	32062119853569035X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
36	周宇	男	32062119823671036X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
37	吴宇	男	32062119893773037X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
38	郑宇	男	32062119863875038X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
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40	陈宇	男	32062119804079040X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格

序号	姓名	性别	身份证号	培训日期	培训地点	培训时长	培训科目	培训讲师	培训评价
1	张明	男	32062119800101001X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
2	李强	男	32062119850203002X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
3	王磊	男	32062119880305003X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
4	赵刚	男	32062119820407004X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
5	孙伟	男	32062119870509005X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
6	周志	男	32062119840611006X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
7	吴昊	男	32062119890713007X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
8	郑宇	男	32062119860815008X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
9	冯涛	男	32062119830917009X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
10	陈昊	男	32062119801019010X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
11	林宇	男	32062119871121011X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
12	黄宇	男	32062119841223012X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
13	徐宇	男	32062119811325013X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
14	朱宇	男	32062119881427014X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
15	刘宇	男	32062119851529015X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
16	周宇	男	32062119821631016X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
17	吴宇	男	32062119891733017X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
18	郑宇	男	32062119861835018X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
19	冯宇	男	32062119831937019X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
20	陈宇	男	32062119802039020X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
21	林宇	男	32062119872141021X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
22	黄宇	男	32062119842243022X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
23	徐宇	男	32062119812345023X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
24	朱宇	男	32062119882447024X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
25	刘宇	男	32062119852549025X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
26	周宇	男	32062119822651026X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
27	吴宇	男	32062119892753027X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
28	郑宇	男	32062119862855028X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
29	冯宇	男	32062119832957029X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
30	陈宇	男	32062119803059030X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
31	林宇	男	32062119873161031X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
32	黄宇	男	32062119843263032X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
33	徐宇	男	32062119813365033X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
34	朱宇	男	32062119883467034X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
35	刘宇	男	32062119853569035X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
36	周宇	男	32062119823671036X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
37	吴宇	男	32062119893773037X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
38	郑宇	男	32062119863875038X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
39	冯宇	男	32062119833977039X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格
40	陈宇	男	32062119804079040X	2023-01-15	项目部会议室	2小时	入场安全教育	王经理	合格

9. Are real-time monitoring facilities for noise, PM ₁₀ and PM _{2.5} installed and connected to the local government's control center? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
10. Whether the noise, PM ₁₀ and PM _{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Appendix 4 E&S Monitoring Report-Bioheart

Company Name	Shanghai Bio-heart Biological Technology Co., Ltd		
Main business	Research and development of medical devices		
Address	Room 302, Building 9 North, No. 590, Ruiqing Road, Pudong New Area, Shanghai, China		
Website / Homepage	http://www.bio-heart.com/		
Management staff responsible for environmental and social affairs	Name: Wang Yunxin Position: CFO		
Prepared by	Name: Sun Haoxin Position: Investor Relationship Contact: 13584933648		
Reporting Period	1 Jan to 31 Dec, 2022		
Number of employees statistics		Male	Female
	Permanent staff	19	33
	Outsourced employees	0	0
	Management	8	4
New jobs created during the reporting period	5		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Actively support the communities in distribution of antigens, distribution of epidemic prevention materials, nucleic acid testing.		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved	None		

and whether any remain unresolved				
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	Number of participants
	Fire Safety	Oct 2022	Frontline employee	9
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	Category: 900-047-49 laboratory waste liquid; 900-041-49 filtration materials and solid waste. Amount: 0.8 tons/year Contracted company for hazardous waste disposal: Shanghai Tianhan Environmental Resources Co.			
				
Implementation of E&S corrective action plan.	No corrective action is required based on the ESDD results.			

If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction.</p> <p>The company leases the factory building of Shanghai Jiechang Industrial Co., Ltd. at Building 18, No. 315, Qingda Road, Pudong New Area, Shanghai, mainly engaged in the production of drug stents, balloon delivery devices, and medical catheters. The construction activities start in June 2021 and completed in 28 February 2022.</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No The EIA was approved by the Pudong Ecology and Environment Bureau in May 2021.</p>
<p>3. Number of construction workers during peak period: 5.</p>
<p>4. Is there a construction camp on site, if so, please provide photos of the construction camp.</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>

5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
6. Please confirm whether the contractor has developed a construction site environmental management plan. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
8. Whether EHS-related training is provided to workers on a regular basis (please attach training records) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
9. Are real-time monitoring facilities for noise, PM ₁₀ and PM _{2.5} installed and connected to the local government's control center? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
10. Whether the noise, PM ₁₀ and PM _{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

Appendix 5 E&S Monitoring Report-Biomap

Company Name	Biomap (Beijing) Intelligent Technology Co.		
Main business	Technology development and consulting, technology exchange, technology transfer, technology promotion, technology services; computer systems services; basic software services; application software services; software development; software consulting; natural science research and experimental development; medical research and experimental development.		
Address	N801, 8/F, Block C, No.2 South Academy of Sciences, Haidian District, Beijing		
Website / Homepage	www.biomap.com		
Management staff responsible for environmental and social affairs	Name: Zhang Mingzhen Position: Vice President of Operations		
Prepared by	Name: Zhang Dayin Position: Compliance Director Contact: dayin@biomap.com		
Reporting Period	1 September-31 December 2022		
Number of employees statistics		Male	Female
	Permanent Staff	143	101
	Outsourced employees	1	2
	Management	10	5
New jobs created during the reporting period	191		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None.		
Please Confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting	None		

<p>period, reflecting corporate social responsibility</p>								
<p>Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan</p>	None							
<p>Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None							
<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None							
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None							
<p>Please describe the environmental, health and safety training and fire</p>	<table border="1"> <tr> <td data-bbox="528 1883 719 1951">Training Topics</td> <td data-bbox="724 1883 903 1951">Date</td> <td data-bbox="908 1883 1158 1951">Target</td> <td data-bbox="1163 1883 1353 1951">Number of participants</td> </tr> </table>	Training Topics	Date	Target	Number of participants			
Training Topics	Date	Target	Number of participants					

drills organized during this reporting period	Lab biosafety training	2022/1/13	2022/3/16	Lab staff
	Lab biosafety training	2022/3/11	2022/3/16	Lab staff
	Laboratory safety and house inspection system	2022/3/16	Lab staff	23
	EHS Training	2022/3/17	Omics Lab Team	10
	Lab biosafety training	2022/4/19	Experimenter	5
	Lab biosafety training	2022/5/11	Experimenter	3
	Lab biosafety training	2022/6/1	Experimenter	2
	Lab biosafety training	2022/6/16	Experimentalists	3
	EHS training	2022/6/22	Experimentalists	3
	Hazardous chemicals training	2022/8/9	Custodian, security staff	7
	Biosafety emergency drills	2022/8/19	Experimenter	30
	Belen related training	2022/9/6	All lab staff	20
	Lab biosafety training	2022/10/18	Lab staff	17
	Lab Operation Guidance - session 1	2022/11/8	All lab staff	34
	Lab Operation Guidance-session 2	2022/11/15	All lab staff	8
	Hazardous chemical safety	2022/12/27	Management of hazardous chemicals, storage of hazardous chemicals security	10


	Biosafety Training	2022.7.19	P1&P2 Lab staff	20
	Firefighting and drills	2022.9.23	Full staff	53
	Lab Safety Training	On-board time	Full staff	Unlimited
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	Category, production volume, contracted third party for hazardous waste disposal:			
	SN	Hazardous Waste Name	Category of hazardous waste	Amount produced (tons/year)
	1	Scrap sample	HW01	0.011
	2	Waste disposable consumables	HW49	0.057
	3	Waste culture-medium	HW49	0.005
	4	Lab waste liquid	HW49	2.7
	5	Clean the waste water the first two times	HW49	25
	6	Biosafety cabinet waste filter element	HW49	0.045
	7	Waste reagents and spent reagent bottles	HW49	0.1
	8	Waste activated carbon	HW49	4.8
	9	Sludge	HW49	0.02
	10	Class II laboratory waste	HW01	5
	11	General laboratory waste	HW49	10
				Beijing Runtai Environmental Protection Technology Co., Ltd
				Beijing Jinyu Mangrove Environmental Protection Technology Co., Ltd
				Zhangjiagang Huarui Hazardous Waste Treatment Center Co., Ltd
				Zhongxin Heshun Environmental Protection (Jiangsu) Co., Ltd

	<table border="1"> <tr> <td data-bbox="528 194 638 255">Total</td> <td data-bbox="638 194 820 255"></td> <td data-bbox="820 194 975 255"></td> <td data-bbox="975 194 1129 255">47.738</td> <td data-bbox="1129 194 1345 255"></td> </tr> </table>	Total			47.738	
Total			47.738			
<p>Environmental social corrective action plan implementation:</p> <p>1) establish formal GRM form employees and external stakeholders with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.</p> <p>2) hire a licensed third party to handle the hazardous waste if the lab generates any waste in the list of</p>	<p>Photos of temporary storage on site:</p>  <p>1) On-going. LC has proposed the recommended corrective actions to Biomap. The establishment of GRM is on-going and will report to AIIB in the next monitoring report.</p> <p>2) Done. Beijing Runtai Environmental Protection Technology Co., Ltd, Beijing Jinyu Mangrove Environmental Technology Co. Ltd, Zhangjiagang Huarui Hazardous Waste Treatment Center Co., Ltd and Zhongxin Heshun Environmental Protection (Jiangsu) Co., Ltd were hired to handle the hazardous waste.</p> <p>3) Done. Various EHS trainings regarding to biosafety, emergency response, safe operations were organized in 2022.</p> <p>4) The E&S report was provided to LC in March 2023.</p>					

<p>“National Hazardous Waste List (2021 version, updated from time to time); 3) set up EHS related department and establish EHS system covering bio-safety, emergency response, chemical management, waste disposal etc. and provide adequate trainings to the staff once the labs are in use. 4) Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC in January each calendar year.</p>	
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction</p> <p>Biomap Belen Lab(Beijing): construction started in July 2022 and completed in September 2022. Biomap (Suzhou) Intelligent Technology Company R&D Lab expansion: Construction started in August 2022 and completed in September 2022.</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Biomap Belen Lab (Beijing): the EIA was approved by Beijing Haidian District Ecology and Environment Bureau in 21 July 2021; the completion acceptance inspection report was endorsed by Beijing Haidian District Ecology and Environment Bureau in 6 January 2023.</p> <p>Biomap (Suzhou) Intelligent Technology Company R&D Lab expansion: The EIA was approved by Suzhou Industrial Park Ecology and Environment Bureau in 13 July 2022; the completion acceptance inspection report was endorsed by Suzhou Industrial Park Ecology and Environment Bureau in 9 December 2022.</p> <p>Both labs are established through the renovation of rented existing offices and do not require land-related approvals.</p>
<p>3. Number of construction workers during peak period: 50</p>

<p>4. Is there a construction camp on site, if so, please provide photos of the construction camp? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>5. Please confirm that EHS requirements are incorporated into engineering, procurement and construction contracts. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>6. Please confirm whether the contractor has developed a construction site environmental management plan <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>8. Whether EHS-related training is provided to workers on a regular basis (please attach training records) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> 
<p>9. Are real-time monitoring facilities for noise, PM10 and PM2.5 installed and connected to the local government's control center? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>10. Whether the noise, PM10 and PM2.5 monitoring results have exceeded the standard. If yes, what corrective measures have been taken <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have channel to raise grievances related to labor and working and employment conditions? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>


Appendix 6 E&S Monitoring Report-dMed



Company Name	dMed Biopharmaceutical Technology (Shanghai) Co.		
Main business	Clinical Phase I-V Clinical R&D Outsourcing Services (CRO)		
Address	Room 301-305, No.298 Xiangke Road, Pudong New Area, Shanghai		
Website / Homepage	www.dmedglobal.com		
Management staff responsible for environmental and social affairs	Name: Qian Yinshi Position : Vice President, Head of Human Resources and Operations China		
Prepared by	Name: Rubin Qiao; Miaomiao Yang Position: Human Resources and Operations Contact: 15821446566		
Reporting Period	1 Jan to 31 Dec, 2022		
Number of employees		Male	Female
	Permanent Staff	134	459
	Outsourced employees	0	0
	Management	7	8
New jobs created during the reporting period	-100		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		
Please describe any public complaints or grievances received during the reporting	None		

period and indicate how they were resolved and whether any remain unresolved																	
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None																
Please describe the environmental, health and safety training and fire drills organized during this reporting period	<table border="1"> <thead> <tr> <th>Topic</th> <th>Date</th> <th>Target</th> <th>Participants</th> </tr> </thead> <tbody> <tr> <td>Drill</td> <td>2022.11.16</td> <td>Shanghai staff</td> <td>240</td> </tr> <tr> <td>Drill</td> <td>2022.9.29</td> <td>Wuhan staff</td> <td>55</td> </tr> <tr> <td>Drill</td> <td>2022.11.9</td> <td>Beijing staff</td> <td>12</td> </tr> </tbody> </table>	Topic	Date	Target	Participants	Drill	2022.11.16	Shanghai staff	240	Drill	2022.9.29	Wuhan staff	55	Drill	2022.11.9	Beijing staff	12
	Topic	Date	Target	Participants													
	Drill	2022.11.16	Shanghai staff	240													
	Drill	2022.9.29	Wuhan staff	55													
Drill	2022.11.9	Beijing staff	12														
<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No																	
Hazardous waste	None																
<p>Implementation of E&S corrective action plan:</p> <p>As AIIB invested project, dMed is required to establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the corrective action plan from LC.</p>	<p>Done in 2021. The GRM was established, and the grievance channel was disclosed on the website of the company: https://www.dmedglobal.com/cn/contact.aspx</p>																

Appendix 7 E&S Monitoring Report-Sciwind

Company Name	Hangzhou Sciwind Biotechnology Co., Ltd.		
Main business	Development of new biomolecules in metabolic diseases		
Address	1802 Room, West Peak, East International Business Center, 00No.6 Jinsha Avenue, Qiantang District, Hangzhou, China		
Website / Homepage	http://www.sciwind.com.cn/		
Management staff responsible for environmental and social affairs	Name: Pan Hai Position: General Manager		
Prepared by	Name: Fu Chunmei Position: Assistant of General Manager Contact: 13552107101		
Reporting Period	1 Jan-31 Dec, 2022		
Number of employees		Male	Female
	permanent Staff	45	65
	Outsourced employees	0	0
	Management	16	21
New jobs created during the reporting period	37		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and	None		

<p>safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan</p>					
<p>Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None				
<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None				
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None				
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	Topic	Date	Target	Participant	Records
	Use of fire extinguishers and hydrants.	2022/6/17	All staff	14	

					
	Environmental and health emergency response	2022/7/8	All staff	19	
	Hazardous waste management	2022/11/25	Lab staff	18	/
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
Hazardous waste	Category: HW49 Production volume: 2.768 tons/year Disposal unit name: Beijing GTC Mangrove Environmental Technology Co., Ltd and Beijing Xinxing Zhongcheng Environmental Technology Co., Ltd Photos of the company's temporary storage site: 				
Implementation of E&S corrective action plan:	<ol style="list-style-type: none"> 1) Done. The standard operation system was developed in Q1 of 2022, including management, disposal and emergency plans for laboratory hazardous chemicals, solid waste and liquid waste. 2) Done. Beijing GTC Mangrove Environmental Technology Co., Ltd and Beijing Xinxing Zhongcheng Environmental Technology Co., Ltd were engaged to handle the hazardous waste. 3) An EHS Specialist has been assigned internally in Q1 of 2022. 4) On-going. It is expected that the external GRM can be established in the first quarter of 2023. 				

<p>plan shall be in place prior to operation of the R&D center, which is expected to be in May 2021.</p> <p>2) The liquid waste and solid waste from labs are identified as hazardous waste. SciWind shall engage licensed third party to handle the hazardous waste prior to operation.</p> <p>3) SciWind shall develop training plan and organize adequate trainings on EHS to the staff prior to operation, which is expected to be in May 2021.</p> <p>4) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p>	<p>5) On-going. It is expected that the employee GRM can be established in the first quarter of 2023.</p> <p>6) Done. Terms on requirements of national labor laws were included in the contract with contactor/supplier.</p>
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<p>5) Establish employee GRM procedures within 90 days from receiving the recommended corrective action plan from LC.</p> <p>6) Ensure the contractors and/or suppliers comply with the national labor laws through complying the terms into the services contract with contractors/suppliers by March 2021.</p>	
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Appendix 8 E&S Monitoring Report-Bondent

Company Name	Shanghai Boendent Technology Co.		
Main business	R&D, production and sales of oral medical devices		
Address	13F, Hope Business Building, No. 655, Fengzhou Road, Jiading New Town, Jiading District, Shanghai		
Website / Homepage	www.bondent.com		
Management staff responsible for environmental and social affairs	Name: Li Yanlong Position: Board Secretary/Vice President		
Prepared by	Name: Chen Litong Position: Investment and Financing Manager Contact: 18225878706		
Reporting Period	1 Jan-31 Dec, 2022		
Number of employees		Male	Female
	permanent Staff	628	547
	Outsourced employees	0	0
	Management	6	3
New jobs created during the reporting period	199		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Volunteer services for epidemic prevention and control		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received	None		

<p>during the reporting period and indicate how they were resolved and whether any remain unresolved</p>				
<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None			
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>Training Topics</p>	<p>Time</p>	<p>Training Target</p>	<p>No. of participants</p>
	<p>Three levels of employee safety education</p>	<p>Monthly</p>	<p>New employees</p>	<p>47</p>
	<p>Production and operation safety training</p>	<p>2022.03.01</p>	<p>Enterprise legal person, safety officer</p>	<p>2</p>
	<p>Radiation prevention training</p>	<p>2022.06.02</p>	<p>Radiologists</p>	<p>1</p>
		<p>2022.08.08</p>	<p>Radiologists</p>	<p>1</p>
		<p>2022.11.14</p>	<p>Radiologists</p>	<p>2</p>
		<p>2022.11.27</p>	<p>Radiologists</p>	<p>4</p>
	<p>Fire drill</p>	<p>2022.08.01</p>	<p>All staff in service</p>	<p>63</p>
	<p>Corporate safety training</p>	<p>2022.05.30</p>	<p>Company officers</p>	<p>12</p>
	<p>Integrated emergency training drill (emergency evacuation, fire extinguishing drill, chemical spill drill, first aid training drill)</p>	<p>2022.05.30</p>	<p>Full staff</p>	<p>287</p>

	<p>Safety management, safety protection emergency measures, elevator fault self-rescue, electric shock first aid</p> <p>2022.03.03</p> <p>Post-treatment workshop</p> <p>15</p>
	<p>Safety and environmental policy training</p> <p>2022.04.11</p> <p>Full staff</p> <p>276</p>
	<p>Accident warning education, fire response, safety training</p> <p>2022.02.25</p> <p>Part-time safety officer</p> <p>8</p>
<p>Please confirm that the company does not employ child labor and forced labor</p>	<p><input checked="" type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p>
<p>Hazardous waste</p>	<p>Category: solid waste/waste liquid</p> <p>Amount: 45.982 tons/a</p> <p>Name of disposal unit: Jiangsu Qinyue Environmental Technology Co., Ltd.</p> <p>Photos of the company's temporary storage site:</p> 
<p>Implementation of E&S corrective action plan:</p>	<p>None</p>

Appendix 9 E&S Monitoring Report-Innostellar

Company Name	Innostellar Biotechnology Co.		
Main business	Gene therapy innovative drug development, production and sales		
Address	Floor 1-3, Building C31, No. 218, Xinghu Street, Suzhou Industrial Park, Jiangsu Province Room B210, No. 1077 Zhangheng Road, Pudong New Area, Shanghai		
Website / Homepage	https://www.innostellarbio.com/		
Management staff responsible for environmental and social affairs	Name: Pu Guohua Position: Director of Engineering management personnel responsible for social affairs		
Prepared by	Name: Yuan Junwei Position: Assistant of president Contact: 18600104164		
Reporting Period	Jan 1-Dec 31, 2022		
Number of employees		Male	Female
	permanent staff	58	77
	Outsourced staff	0	1
	Management	25	23
New jobs created during the reporting period	70		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	In 2022, Innostellar supported the "RP Light Love Alliance" song contest organised by visually impaired patients and the Visual Aid Training Programme, which is dedicated to improving the quality of life and daily mobility of people with visual impairments.		
Whether major environmental and safety accidents/incidents have occurred in this	None		

reporting period, if so, please briefly explain the causes of the accidents and the treatment plan																	
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None																
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None																
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None																
Please describe the environmental, health and safety training and fire drills organized during this reporting period	<table border="1"> <thead> <tr> <th>Training Topics</th> <th>Time</th> <th>Training Target</th> <th>No. of participants</th> </tr> </thead> <tbody> <tr> <td>Three-level safety training</td> <td>2022</td> <td>All new staff</td> <td>35</td> </tr> <tr> <td>Drill</td> <td>2022/5/12</td> <td>Suzhou staff</td> <td>65</td> </tr> <tr> <td>Firefighting</td> <td>2022/5/20</td> <td>Suzhou staff</td> <td>65</td> </tr> </tbody> </table>	Training Topics	Time	Training Target	No. of participants	Three-level safety training	2022	All new staff	35	Drill	2022/5/12	Suzhou staff	65	Firefighting	2022/5/20	Suzhou staff	65
	Training Topics	Time	Training Target	No. of participants													
	Three-level safety training	2022	All new staff	35													
	Drill	2022/5/12	Suzhou staff	65													
Firefighting	2022/5/20	Suzhou staff	65														
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No																
Hazardous waste	<p>Category: waste consumables, R&D inspection waste liquid, cleaning waste liquid, waste filter and activated carbon, waste culture medium, waste rag</p> <p>Yield: 6.5 tons solid waste and 68 tons of waste liquid.</p> <p>Name of disposal unit: Nantong Jiuzhou Environmental Protection Co., Ltd and Shanghai Tianhan Environmental Resources Co., Ltd.</p>																

	<p>Photos of temporary storage site:</p> 
<p>Implementation of E&S corrective action plan:</p> <p>1) The standard operation procedures and regulations regarding to lab management, chemical use management, hazardous waste management and emergency preparedness plan shall be in place prior to operation of the Suzhou R&D center, which is expected to be end of March 2021.</p> <p>2) The liquid waste and solid waste from labs are identified as hazardous waste. Innostellar shall engage licensed third party to handle the hazardous waste prior to operation, which is</p>	<ol style="list-style-type: none"> 1. Done. Management procedures and regulations on laboratory management have been established in June 2021; (relevant regulations was provided to LC). 2. Done. In January 2021, a qualified third party (Shanghai Tianhan Environmental Resources Co., Ltd.) has been hired to deal with hazardous waste; (a contract was provided to LC) 3. Done. EHS-related training has been conducted; (training records was provided to LC) 4. Done. The relevant animal testing contract has been signed with Zhaoyan, and Zhaoyan has been entrusted to deal with the biological medical waste. Innostellar will inspect the compliance with contract clauses. 5. Done. GRM was established, and the contact information has been disclosed on the company's official website, WeChat and other channels to resolve any complaints raised by those affected if any.

<p>expected to be end of March 2021.</p> <p>3) Innostellar shall develop training plan and organize adequate trainings on EHS and bio-safety to the staff prior to operation, which is expected to be end of March 2021.</p> <p>4) Properly dispose the test animals from pre-clinical trials as bio medical waste.</p> <p>5) Establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days.</p>	
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Appendix 10 E&S Monitoring Report-Star Sports

Company Name	Beijing Star Sports Medical Equipment Co.		
Main business	Sports medicine medical device production, research and development, sales		
Address	A018, 1F, Building 1, No.25, Jinghai 2nd Road, Yizhuang Economic & Technological Development Zone, Beijing		
Website / Homepage	www.starsportmed.com		
Management staff responsible for environmental and social affairs	Name: Xu Jingtao Position: human resource director		
Prepared by	Name: Xu Jingtao Position: human resource director Contact: 13718905797		
Reporting Period	1 Jan-31 Dec, 2022		
Number of employees		Male	Female
	permanent Staff	130	117
	Outsourced	0	0
	Management	11	15
New jobs created during the reporting period	59		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	1) Regularly carry out sports medical knowledge popularization activities in the community. 2) Organize sports medical lectures on-line.		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		
Please describe any public complaints or grievances received during the reporting	None		

period and indicate how they were resolved and whether any remain unresolved				
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire drills organized during this reporting period	Training Topics	Time	Training Target	No. of participants
	EHS Training	July 2022	Personnel from all related departments	54
	Safe production	October 2022	Related Employees	82
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	None			
Implementation of E&S corrective action plan.	No corrective action plan is required.			

Appendix 11 E&S Monitoring Report-Hemo

Company Name	Hemo (China) Bioengineering Co., Ltd.		
Main business	Medical device research and development, production and sales		
Address	3rd Floor, Block A, Innovation and Entrepreneurship Base, No. 213, Torch Road, Weihai High-tech Zone, Shandong		
Website / Homepage	www.hemochina.com		
Management staff responsible for environmental and social affairs	Name: Xia Jungang Title: Director of Public Affairs		
Prepared by	Name: Wang Xi Position: Public Affairs Manager Contact: 13406318988		
Reporting Period	1 Jan-31 Dec, 2022		
Number of employees		Male	Female
	permanent Staff	48	89
	Outsourced	0	0
	Management	13	9
New jobs created during the reporting period	-13		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	Yes Seven employees were laid off and have been paid with N+1 compensation in accordance with the Labor Law.		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	Carry out stroke science popularization activities to enhance community residents' awareness of stroke prevention and treatment and contribute to reducing stroke disability and fatality rates.		
Whether major environmental and safety accidents/incidents	None		

<p>have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan</p>				
<p>Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>	None			
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None			
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>Training Topics</p>	<p>Time</p>	<p>Training Target</p>	<p>No. of participants</p>
	<p>Clean area environmental testing</p>	<p>2022.7.13</p>	<p>Scientific Research Department, Production Department, Quality Inspection Center</p>	<p>23</p>
	<p>Fire safety</p>	<p>2022.10.8</p>	<p>All employees of the company</p>	<p>84</p>
	<p>Emergency rescue</p>	<p>2022.09.03</p>	<p>Line management and engineers</p>	<p>35</p>

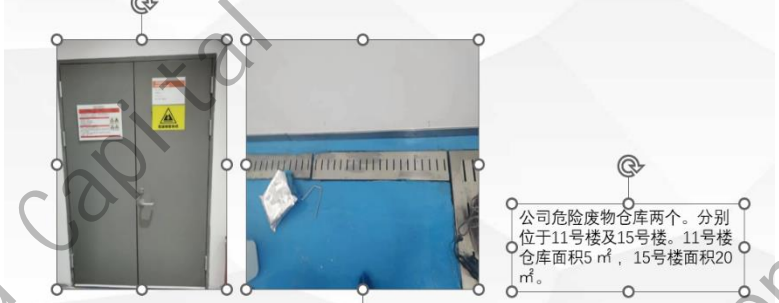
<p>Please confirm that the company does not employ child labor and forced labor</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>Hazardous waste</p>	<p>Category: acetic acid, perchloric acid Yield: acetic acid 5L, perchloric acid 9L Name of disposal unit: Weihai Environmental Protection Technology Service Co., Ltd. Photos of the company's temporary storage site:</p> 
<p>Implementation of E&S corrective action plan:</p> <p>1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's Mechanism within 90 days from receiving the recommended corrective action plan from LC.</p> <p>2. establish employment GRM including procedures and timeframe to address the</p>	<p>1. The company intends to set up a link on the official website of the company to provide contact information for public complaints. As the company is currently in a sensitive period for listing, the official website is temporarily closed. When the official website re-open, the access of external GRM will be disclosed on the website.</p> <p>2. Done. An employment GRM was established and released in August 2021.</p>


<p>complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC.</p> <p>3. Contract a licensed third party to handle the hazardous waste in line with the national laws and regulations once it generates hazardous waste.</p>	<p>3. Weihai Environmental Protection Technology Service Co., Ltd was contracted to handle the hazardous waste.</p>
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Appendix 12 E&S Monitoring Report-Exegensis

Company Name	Exegensis Bio Inc.		
Main business	Biomedicine and precision treatment		
Address	Room 1-604, 291 Fucheng Road, Xiasha street, Hangzhou Economic and Technological Development Zone, Zhejiang Province		
Website / Homepage	www.exegensisbio.com		
Management staff responsible for environmental and social affairs	Name: Liang Yu Position: Executive Director of Operations		
Prepared by	Name: Qi Jiangming Position: EHS Engineer Contact: 0571-87293725		
Reporting Period	1 Jan-31 Dec, 2022		
Number of employees		Male	Female
	permanent Staff	106	94
	Outsourced	0	0
	Management	15	15
New jobs created during the reporting period	100		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	None		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if	None		

so, please briefly explain the causes of the accidents and the treatment plan				
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	Training Topics	Time	Training Target	No. of participants
	Safety matters, danger identification safety training	2022/2/1	All staff	150
	Regulations on the Safety Administration of Explosive Chemicals	2022/4/2	Procurement division, warehouse division, engineering division	6
	Elevator trapped rescue	2022/4/15	Engineering division, human resources division, administration division	14
	Emergency rescue Special equipment safety management	2022/5/9	R&D, manufacturing division	22
	Fire safety management	2022/5/17	warehouse division, engineering division	4

	Standardized management of hazardous waste system operation	2022/8/12	All staff	150
	Easy poison management platform	2022/9/5		4
Please describe the environmental, health and safety training and fire drills organized during this reporting period	None			
Please confirm that the company does not employ child labor and forced labor.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	<p>10.9 tons of 900-041-49 (hazardous chemical waste packaging materials, waste R&D materials) 5.42 tons of 900-047-49 (culture waste, waste samples, R&D waste) Name of disposal unit: Huzhou Weineng Environmental Service Co., Ltd. Photos of the company's temporary storage site:</p>  <p>Note: there are two hazardous waste warehouses on sites.</p>			
Implementation of E&S corrective action plan: 1. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIB's Project-affected People's Mechanism within 90 days	<p>1) Done. Internal channels for employee grievance feedback have been established, and a section on grievance mechanisms in the employee handbook was added in Q2 of 2022.</p>			

<p>receiving the recommended corrective action plan from LC.</p> <p>2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC.</p> <p>3. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.</p>	 <p>2) Done. External grievance channels were established and disclosed on the company's website .</p> <div style="display: flex; justify-content: space-between;"> <div data-bbox="622 638 837 1108"> <p>Contact Information</p> <p>联系方式</p> <p>Exegensis Bio</p> <p>嘉因生物</p> <p>Mailing Address:</p> <p>收件地址</p> <p>费城办事处:</p> <p>Springhouse Innovation Park Building# 3 727 Norristown Rd, Suite# 160 Lower Gwynedd, PA 19002</p> <p>麻省办事处:</p> <p>81 Hartwell Avenue, Suite# 120 Lexington, MA 02421</p> <p>中国站点:</p> <p>中国, 浙江省, 杭州市, 钱塘区</p> <p>医药港小镇和康乐中心111栋</p> <p>新加坡办事处:</p> <p>6 Raffles Quay, #14-06 Singapore 048580</p> </div> <div data-bbox="949 638 1149 952"> <p>联系我们</p> <p>姓名 *</p> <p>姓 名</p> <p>电 子 邮 箱 *</p> <p>电 子 邮 箱</p> <p>选择相关事项 *</p> <p>其他</p> <p>请详细描述您的需求 *</p> <p>提交</p> </div> </div> <p>3) The E&S report was provided to LC in March 2023.</p>
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Appendix 13 E&S Monitoring Report-Lynk Pharma

Company Name	Lynk Pharmaceutical (Hangzhou) Co., Ltd.		
Main business	Medicine drug research		
Address	Room 402, Building 5, No. 291, Fucheng Road, Qiantang New District, Hangzhou		
Website / Homepage	https://www.lynkpharma.com/		
Management staff responsible for environmental and social affairs	Name: He Xin Position: Deputy Director of R&D and Head of EHS		
Prepared by	Name: He Xin Position: Deputy Director of R&D and Head of EHS Contact: 15382377755		
Reporting Period	1 Jan-31 Dec, 2022		
Number of employees		Male	Female
	permanent Staff	33	38
	Outsourced	0	0
	Management	12	6
New jobs created during the reporting period	25		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None		
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None		
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None		
Please describe any public complaints or grievances	None		

<p>received during the reporting period and indicate how they were resolved and whether any remain unresolved</p>				
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures.</p>	<p>Training Topics</p>	<p>Time</p>	<p>Training Target</p>	<p>No. of participants</p>
	<p>Safe production</p>	<p>2022/1/12</p>	<p>Chemical division</p>	<p>12</p>
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>None</p>			
<p>Please confirm that the company does not employ child labor and forced labor</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>			
<p>Hazardous waste</p>	<p>Category: Laboratory Waste Liquid (HW49 900-047-49) Yield: 2.1 tons Name of disposal unit: Hangzhou Lijia Environmental Service Co., Ltd. Photos of the company's temporary storage site:</p> 			
<p>Implementation of E&S corrective action plan:</p> <p>3) establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIIB's Project-affected People's</p>	<p>5) On-going. The external GRM is expected to be established in the first quarter of 2023.</p> <p>6) Done. Trays are provided on site.</p> <p>7) Done. See the training records.</p>			

<p>Mechanism within 90 days receiving the recommended corrective action plan from LC.</p> <p>4) Purchase spill containment trays and place the waste liquid container on the spill containment trays to prevent accidental spills within 90 days receiving the recommended corrective action plan from LC.</p> <p>5) Develop annual EHS training program within 90 days receiving the recommended corrective action plan from LC and provided adequate trainings on EHS, especially on chemicals management and emergency preparedness to its staff every year.</p> <p>6) establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.</p> <p>7) Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.</p>	<p>8) On-going. The internal GRM is expected to be established in the first quarter of 2023.</p> <p>9) The E&S monitoring report was provided to LC in March 2023.</p>
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Appendix 14 E&S Monitoring Report-ForQaly

Company Name	ForQaly Medical Devices (Shanghai) Co., Ltd.		
Main business	Ventricular assist system product development and manufacture		
Address	No. 36, Lane 100, Banxia Road, Pudong New Area, Shanghai		
Website / Homepage	www.forqaly.com		
Management staff responsible for environmental and social affairs	Name: Shi Xuejiao Position: Production Manager		
Prepared by	Name: Zhang Jie Position: Assistant to General Manager Contact: 13585716290		
Reporting Period	1 Jan-31 Dec, 2021		
Number of employees		Male	Women
	Permanent Staff	58	56
	Outsourced staff	1	0
	Management	7	11
New jobs created during the reporting period	50		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		

related laws and regulations.	
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting corporate social responsibility	None
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None
Please describe any public complaints or grievances received	None

<p>during the reporting period and indicate how they were resolved and whether any remain unresolved</p>					
<p>Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures</p>	None				
<p>Please describe the environmental, health and safety training and fire drills organized during this reporting period</p>	<p>Training theme</p>	<p>Time</p>	<p>Target</p>	<p>No. of participants</p>	
	<p>Chemical Safety precautions</p>	<p>2022/1/10</p>	<p>Production, Quality and Compliance, Products</p>	<p>13</p>	
	<p>Hazardous chemicals management</p>	<p>2022/1/12</p>	<p>Production, Quality and Compliance, Products</p>	<p>8</p>	
	<p>"Safety Training Materials for New Employees EHS-06-RD-211208-0001" Issue 16</p>	<p>2022/1/13</p>	<p>Production Department, Purchasing Department, Products Department, Personnel Administration Department</p>	<p>6</p>	
	<p>Points for attention in wastewater discharge</p>	<p>2022/1/17</p>	<p>Production Department, Quality and Compliance Department, Products Department, Personnel Administration Department</p>	<p>18</p>	

	Hearing Protection Advocacy Training EHS-06-RD-220119-0002	2022/1/19	Production, Quality and Compliance, Products	12
	"Chemical Safety Training Material EHS-06-RD-210903-0002"	2022/1/25	25, Products Department, Personnel Administration Department	18
	Technical Data on HFC-propane Fire Extinguisher EHS-06-EXT-0034	2022/1/26	Production, Quality and Compliance, Products	3
	Welding and Hot Cutting Safety Training Materials EHS-06-RD-211213-0001	2022/1/26	Production, Quality and Compliance, Products	7
	"Waste Water Management System FMEHS-SMP--034" released	2022/2/10	Production Department, Quality and Compliance Department, Products Department, Personnel Administration Department	18
	"Security and Security Training Materials EHS-06-RD-211224-0003"	2022/2/10	Production Department, Quality and Compliance Department, Products Department	6
	Insulation Pad Technique - Technical Information EHS-06-EXT-0042	2022/2/11	Production, Quality and Compliance, Products	3
	New Employee Safety Training Materials EHS-06-RD-211208-0001, issue 19	2022/3/3	Production Department, Quality and Compliance Department, Personnel Administration Department	6
	"Safety Training Materials for New Employees EHS-06-RD-211208-0001" No. 20	2022/3/16	Production Department, Quality and Compliance Department, Products Department	4
	New employees (change/return to work) and "four new"	2022/6/6	Department of Production, AME, Advanced Manufacturing Center	17
	Mechanical accident case training	2022/6/14	Products Division, Production Division	5

	<p>"Safety Training Materials for New Employees EHS-06-RD-211208-0001" issue 21</p>	<p>2022/6/17</p>	<p>Compliance Department, Products Department</p>	<p>6</p>												
	<p>Zhoupu Town drug control office anti-drug propaganda</p>	<p>2022/6/28</p>	<p>Production Department, Products Department</p>	<p>9</p>												
	<p>Knife tips Training</p>	<p>2022/7/11</p>	<p>Products, Production, Quality and Compliance</p>	<p>15</p>												
	<p>"Safety Training Materials for New Employees EHS-06-RD-211208-0001," No. 22</p>	<p>2022/7/15</p>	<p>Compliance Department, Products Department</p>	<p>6</p>												
<p>Please confirm that the company does not employ child labor and forced labor</p>	<p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>															
<p>Hazardous waste</p>	<p>Shanghai Changing Environmental Service Company was engaged to handle the hazardous waste.</p>															
	<table border="1"> <thead> <tr> <th>Hazardous waste category code</th> <th>Hazardous waste name</th> <th>Weight kg</th> </tr> </thead> <tbody> <tr> <td>900-047-49</td> <td>Laboratory contaminated solid waste</td> <td>138.2</td> </tr> <tr> <td>900-047-49</td> <td>Laboratory waste liquid</td> <td>85</td> </tr> <tr> <td>900-006-09</td> <td>Waste cutting fluid</td> <td>20</td> </tr> </tbody> </table>			Hazardous waste category code	Hazardous waste name	Weight kg	900-047-49	Laboratory contaminated solid waste	138.2	900-047-49	Laboratory waste liquid	85	900-006-09	Waste cutting fluid	20	
Hazardous waste category code	Hazardous waste name	Weight kg														
900-047-49	Laboratory contaminated solid waste	138.2														
900-047-49	Laboratory waste liquid	85														
900-006-09	Waste cutting fluid	20														
	<p>Photos of the company's temporary storage site.</p>															
																
<p>Implementation of E&S corrective action plan:</p> <p>1. establish formal GRM with</p>	<p>1. On-going. The external GRM is expected to be established by then end of first quarter of 2023.</p> <p>2. On-going. The employee GRM is expected to be established by then end of first quarter of 2023.</p>															

<p>clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclose the grievance channel to the public, including AIIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p> <p>2. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days.</p> <p>3. Keep LC informed when environmental</p>	<p>3. The certificate of Shanghai Changying Environmental Service Company renewed to 25 Dec 2024.</p> <p>4. The E&S report was provided to LC in March 2023.</p>
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<p>I permits are renewed; 4. Continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each year.</p>	
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Appendix 15 E&S Monitoring Report-HCSci



Company Name	Chengdu Hanchen Guangyi Technology Co., Ltd.		
Main business	R&D, manufacturing, sales and after-sales service of life science and technology equipment, consumables, reagent automation and intelligent solutions		
Address	9th Floor, Building D1, Tianfu International Biological City, No. 18, Section 2, Biocity Middle Road, Shuangliu District, Chengdu, Sichuan Province		
Website / Homepage	www.hcsci.com		
Management staff responsible for environmental and social affairs	Name: Yang Jingzhong Position: Head of Operations Department		
Prepared by	Name: Yu Juan Position: Head of Legal Department Contact: 18027316228		
Reporting Period	1 Jan-31Dec, 2022		
Number of employees		Male	Female
	permanent Staff	161	74
	Outsourced	7	4
	Management	6	4
New jobs created during the reporting period	46		
Were there any retrenchment during the reporting period, and if so, please briefly describe the layoff program and number of employees	None		
Please confirm that the company fully complies with national and local environment, health and safety (EHS) and labor-related laws and regulations.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
Briefly describe the activities that have had a positive impact on the surrounding community during the reporting period, reflecting	None		

corporate social responsibility				
Whether major environmental and safety accidents/incidents have occurred in this reporting period, if so, please briefly explain the causes of the accidents and the treatment plan	None			
Please describe any employee complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please describe any public complaints or grievances received during the reporting period and indicate how they were resolved and whether any remain unresolved	None			
Please indicate whether you received any environmental, health, safety and labor-related non-compliance penalties from regulatory authorities during the reporting period, and if so, briefly explain the reasons and corrective measures	None			
Please describe the environmental, health and safety training and fire	Training Topics	Time	Training Target	No. of participants

drills organized during this reporting period	Occupational health and safety	2022.04.13	Staff exposed to occupational health and safety risks	16
	Special safety training for equipment production department	2022.05.12	Equipment Production Department	10
	Instrument research and development safety training	2022.05.30	Research and Development Engineer	35
	Supply chain related risk	2022.06.22	Supply Chain Management Center	14
	Management safety special training	2022.07.01	Level 1 Department head	6
	New employee safety training	2022.3.22-3.23 -	New employees from all departments	17
	New employee safety training	2022.5.24-5.25 -	New employees from all departments	16
	New employee safety training	2022.7.21-7.22 -	New employees from all departments	28
	New employee safety training	2022.10.13-2022.10.14	New employees from all departments	24
	New employee safety training	2022.12.29-12.30 -	New employees from all departments	14
Please confirm that the company does not employ child labor and forced labor	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
Hazardous waste	Category: HW06, HW49, HW02 Name of disposal unit: ChengduGerun Zhongtian Environmental Protection Technology Co., Ltd.			
Implementation of E&S corrective action plan: 1. report to LC the progress of EHS system	1. Done. The EHS system has been formulated for the management of hazardous chemicals and explosive chemicals. A training plan on EHS for 2022 has been drawn up and various EHS related trainings were organized in 2022. One EHS specialist was hired in 2022.			

<p>development and provide relevant documents as requested;</p> <p>2. continue to comply with the regulatory requirements and submit annual E&S report in the agreed format to LC by end of January each calendar year.</p> <p>3. establish employment GRM including procedures and timeframe to address the complaints and concerns raised by the employees within 90 days receiving the recommended corrective action plan from LC.</p> <p>4. establish formal GRM with clearly defined procedures and timeframe to resolve any complaints raised by the affected people and disclosed the grievances channel to the public, including AIB's Project-affected People's Mechanism within 90 days receiving the recommended corrective action plan from LC.</p>	<p>2. Done. The E&S report was provided to LC in March 2023.</p> <p>3. Done. The employee grievance mechanism has been improved, and the employee grievance channels have been supplemented and updated in the employee handbook.</p> <p>4. Done. The external GRM has been established and the access channel is disclosed on the company website.</p>
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If there are projects under construction during the reporting period, please fill in the following table.

<p>1. Please briefly describe the construction content, start time and expected completion time of the project under construction.</p> <p>Sichuan Housing Construction Engineering Co., Ltd. has been contracted for the interior decoration, air conditioning, ventilation, fire protection, weak power and on the 1st to 5th floors (total of 5 floors) of the new office, namely H2 R&D Building of Hanguang Yi Bio-City. The construction area is about 6679.28 m². Commencement date: 1 June 2022; Expected completion date: 1 September 2022 (construction period 90 days); Actual completion date: mid to late September 2022.</p>
<p>2. Has the project under construction obtained the relevant environmental social permit? If yes, please indicate the approval time and approval body (e.g. environmental impact assessment)</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>Not required as the construction works only involve interior decoration.</p>
<p>3. Number of construction workers during peak period: 50.</p>
<p>4. Is there a construction camp on site, if so, please provide photos of the construction camp.</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>5. Please confirm that EHS requirements are incorporated into engineering, procurement, and construction contracts.</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Trainings were provided by the contractor.</p>
<p>6. Please confirm whether the contractor has developed a construction site environmental management plan.</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>7. Whether to provide personal protective equipment to workers (please attach photos of workers on site construction)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <div style="display: flex; justify-content: space-around;"> <div style="text-align: center;">  <p>生物城 H2装修 拍摄时间: 2022.06.08 10:57 天气: 多云 24°C 地点: 双流区·成都天府生物产业孵化园二期 经度: 103.973984°E 纬度: 30.433698°N</p> </div> <div style="text-align: center;">  <p>生物城 H3装修 拍摄时间: 2022.06.10 09:19 天气: 阴 22°C 地点: 双流区·新双黄路 经度: 103.971565°E 纬度: 30.436910°N</p> </div> </div>
<p>8. Whether EHS-related training is provided to workers on a regular basis (please attach training records)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>9. Are real-time monitoring facilities for noise, PM₁₀ and PM_{2.5} installed and connected to the local government's control center?</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>10. Whether the noise, PM₁₀ and PM_{2.5} monitoring results have exceeded the standard. If so, what corrective measures have been taken</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>

11. Do contractor workers have access to the company's workplace-related grievance mechanisms? If not, how does the company ensure that contractor workers have avenues to raise grievances related to labor and working and employment conditions?

Yes No